

Grothendieck-Lefschetz fixed point theorem

Abhiram Kumar

24th April, 2024



Alexander Grothendieck



Solomon Lefschetz

Outline

- Lefschetz FPT
- Examples and applications
- Varieties over \mathbb{F}_p and the Frobenius map
- Grothendieck-Lefschetz FPT
- Weil conjectures

Fixed Point Theorems

We have seen in the course that algebraic topology is capable of deriving fixed point theorems

We have seen in the course that algebraic topology is capable of deriving fixed point theorems

Theorem (Brouwer)

Any continuous map

$$f : D^2 \rightarrow D^2$$

has a fixed point.

We have seen in the course that algebraic topology is capable of deriving fixed point theorems

Theorem (Brouwer)

Any continuous map

$$f : D^2 \rightarrow D^2$$

has a fixed point.

Natural to ask for generalizations

Does Brouwer's theorem hold for spaces other than D^2 ?

If so, can we count the exact number of fixed points?

Does Brouwer's theorem hold for spaces other than D^2 ?

If so, can we count the exact number of fixed points?

Yes and yes

Does Brouwer's theorem hold for spaces other than D^2 ?

If so, can we count the exact number of fixed points?

Yes and yes

Theorem (Lefschetz)

Let X be a finite simplicial complex and $f : X \rightarrow X$ be a continuous map. Define the Lefschetz number of f by

$$\Lambda_f = \sum_{i \geq 0} (-1)^i \text{tr}(f_*|_{H_i(X, \mathbb{Q})})$$

If $\Lambda_f \neq 0$ then f has a fixed point.

Does Brouwer's theorem hold for spaces other than D^2 ?

If so, can we count the exact number of fixed points?

Yes and yes

Theorem (Lefschetz)

Let X be a finite simplicial complex and $f : X \rightarrow X$ be a continuous map. Define the Lefschetz number of f by

$$\Lambda_f = \sum_{i \geq 0} (-1)^i \text{tr}(f_*|_{H_i(X, \mathbb{Q})})$$

If $\Lambda_f \neq 0$ then f has a fixed point.

In fact, if we work with H^* instead of H_* , then Λ_f is the intersection number of Δ and Γ_f in $X \times X$.

Lefschetz applications

Lefschetz \implies Brouwer

Let $f : D^2 \rightarrow D^2$ be a continuous map

Only the 0^{th} homology of D^2 is non-zero (isomorphic to \mathbb{Z})

Lefschetz applications

Lefschetz \implies Brouwer

Let $f : D^2 \rightarrow D^2$ be a continuous map

Only the 0^{th} homology of D^2 is non-zero (isomorphic to \mathbb{Z})

The induced map on 0^{th} homology has non-zero trace and therefore by Lefschetz FPT, f has a fixed point

Lefschetz applications

The Euler characteristic of a manifold M is defined as

$$\chi(M) = \sum_{i \geq 0} (-1)^i \dim(H_i(M))$$

Lefschetz applications

The Euler characteristic of a manifold M is defined as

$$\chi(M) = \sum_{i \geq 0} (-1)^i \dim(H_i(M))$$

This is the same as the Lefschetz number of the identity map on M (or anything homotopic to the identity map)

Lefschetz applications

The Euler characteristic of a manifold M is defined as

$$\chi(M) = \sum_{i \geq 0} (-1)^i \dim(H_i(M))$$

This is the same as the Lefschetz number of the identity map on M (or anything homotopic to the identity map)

Example (and application)

The Euler characteristic of a connected compact Lie group G is 0.

Lefschetz applications

The Euler characteristic of a manifold M is defined as

$$\chi(M) = \sum_{i \geq 0} (-1)^i \dim(H_i(M))$$

This is the same as the Lefschetz number of the identity map on M (or anything homotopic to the identity map)

Example (and application)

The Euler characteristic of a connected compact Lie group G is 0. To see this, let $1 \neq g \in G$ and let m_g be the multiplication on the left by g map on G . Since G is path-connected, m_g is homotopic to identity.

Lefschetz applications

The Euler characteristic of a manifold M is defined as

$$\chi(M) = \sum_{i \geq 0} (-1)^i \dim(H_i(M))$$

This is the same as the Lefschetz number of the identity map on M (or anything homotopic to the identity map)

Example (and application)

The Euler characteristic of a connected compact Lie group G is 0. To see this, let $1 \neq g \in G$ and let m_g be the multiplication on the left by g map on G . Since G is path-connected, m_g is homotopic to identity. But clearly m_g has no fixed points and therefore the Euler characteristic is 0.

Algebraic analogue?

An algebraic variety over a field k is the zero set of a collection of polynomials with coefficients in k

Algebraic analogue?

An algebraic variety over a field k is the zero set of a collection of polynomials with coefficients in k

$F(X, Y) = Y - X^2 \in \mathbb{R}[X, Y]$ defines a parabola in \mathbb{R}^2

Algebraic analogue?

An algebraic variety over a field k is the zero set of a collection of polynomials with coefficients in k

$F(X, Y) = Y - X^2 \in \mathbb{R}[X, Y]$ defines a parabola in \mathbb{R}^2

More generally, given an ideal I of $k[x_1, \dots, x_n]$

$$V(I) = \{p \in k^n \mid f(p) = 0, \forall f \in I\}$$

Algebraic analogue?

An algebraic variety over a field k is the zero set of a collection of polynomials with coefficients in k

$F(X, Y) = Y - X^2 \in \mathbb{R}[X, Y]$ defines a parabola in \mathbb{R}^2

More generally, given an ideal I of $k[x_1, \dots, x_n]$

$V(I) = \{p \in k^n \mid f(p) = 0, \forall f \in I\}$

Why study them?

They are of interest in number theory (among many other fields). For instance, the points over \mathbb{Q} of $X^2 + Y^2 - 1$ give Pythagorean triples.

Varieties over \mathbb{F}_p and the Frobenius map

Let V be a variety defined over a finite field \mathbb{F}_p and let \bar{V} be the base change of V to an algebraic closure $\bar{\mathbb{F}}_p$ of \mathbb{F}_p

Varieties over \mathbb{F}_p and the Frobenius map

Let V be a variety defined over a finite field \mathbb{F}_p and let \bar{V} be the base change of V to an algebraic closure $\bar{\mathbb{F}}_p$ of \mathbb{F}_p

The Frobenius map Fr_p is an endomorphism of \bar{V} , which maps a point with co-ordinates (x_1, \dots, x_n) to (x_1^p, \dots, x_n^p)

Varieties over \mathbb{F}_p and the Frobenius map

Let V be a variety defined over a finite field \mathbb{F}_p and let \bar{V} be the base change of V to an algebraic closure $\bar{\mathbb{F}}_p$ of \mathbb{F}_p

The Frobenius map Fr_p is an endomorphism of \bar{V} , which maps a point with co-ordinates (x_1, \dots, x_n) to (x_1^p, \dots, x_n^p)

We have $x^p = x$ if and only if $x \in \mathbb{F}_p$ and therefore, the \mathbb{F}_p -points of V are precisely the fixed points of Fr_p . Denote this set by $V(\mathbb{F}_p)$

Varieties over \mathbb{F}_p and the Frobenius map

Let V be a variety defined over a finite field \mathbb{F}_p and let \bar{V} be the base change of V to an algebraic closure $\bar{\mathbb{F}}_p$ of \mathbb{F}_p

The Frobenius map Fr_p is an endomorphism of \bar{V} , which maps a point with co-ordinates (x_1, \dots, x_n) to (x_1^p, \dots, x_n^p)

We have $x^p = x$ if and only if $x \in \mathbb{F}_p$ and therefore, the \mathbb{F}_p -points of V are precisely the fixed points of Fr_p . Denote this set by $V(\mathbb{F}_p)$

We are interested in counting $\#V(\mathbb{F}_p) = \#Fix(Fr_p)$

We will be able to do this if we have an analogue of the Lefschetz fixed point theorem in the setting of varieties over finite fields

New cohomology theory

We need a new cohomology theory that works for varieties over finite fields, similar to how singular cohomology works for finite simplicial complexes

New cohomology theory

We need a new cohomology theory that works for varieties over finite fields, similar to how singular cohomology works for finite simplicial complexes

For X a variety over \mathbb{F}_p , the cohomology theory should be such that Fr_p acts on $H^i(X, K)$ where K is a field of characteristic 0

New cohomology theory

We need a new cohomology theory that works for varieties over finite fields, similar to how singular cohomology works for finite simplicial complexes

For X a variety over \mathbb{F}_p , the cohomology theory should be such that Fr_p acts on $H^i(X, K)$ where K is a field of characteristic 0

Let k and K be fields with $char(K) = 0$

A Weil cohomology theory is a contravariant functor from the category of smooth projective varieties over k to the category of graded K -algebras, satisfying some axioms

The axioms include Poincare duality and Künneth formula

New cohomology theory

Weil suggested that such a cohomology theory could prove the Weil conjectures. Such a cohomology theory also gives a fixed point theorem that we want

New cohomology theory

Weil suggested that such a cohomology theory could prove the Weil conjectures. Such a cohomology theory also gives a fixed point theorem that we want

There exists such a theory called the étale cohomology theory (or a small modification of that called the l -adic cohomology theory, for $l \neq \text{char}(k)$) for varieties over k

New cohomology theory

Weil suggested that such a cohomology theory could prove the Weil conjectures. Such a cohomology theory also gives a fixed point theorem that we want

There exists such a theory called the étale cohomology theory (or a small modification of that called the l -adic cohomology theory, for $l \neq \text{char}(k)$) for varieties over k

Theorem (Artin Comparison)

Let X be a smooth proper variety over \mathbb{C} . For any $i \geq 0$, we have an isomorphism

$$H_{et}^i(X, \mathbb{Q}_l) \cong H_{sing}^i(X(\mathbb{C}), \mathbb{Q}_l)$$

Theorem

Let X be a smooth, proper variety over \mathbb{F}_p . Then

$$X(\mathbb{F}_p) = \sum_i (-1)^i \text{tr}(\text{Fr}_p|H^i(\bar{X}, \mathbb{Q}_l))$$

Weil Conjectures

These are conjectures about the generating function involving the above point counts

Weil Conjectures

These are conjectures about the generating function involving the above point counts

Let N_m be the number of \mathbb{F}_{p^m} -points on X . The zeta function of X is defined as

$$Z(X, T) = \exp\left(\sum_m \frac{N_m T^m}{m}\right) \in \mathbb{Q}[[T]]$$

Weil Conjectures

These are conjectures about the generating function involving the above point counts

Let N_m be the number of \mathbb{F}_{p^m} -points on X . The zeta function of X is defined as

$$Z(X, T) = \exp\left(\sum_m \frac{N_m T^m}{m}\right) \in \mathbb{Q}[[T]]$$

The Weil conjectures assert that these zeta functions are rational functions, satisfy a certain functional equation, and have their zeros in restricted places

Weil Conjectures

These are conjectures about the generating function involving the above point counts

Let N_m be the number of \mathbb{F}_{p^m} -points on X . The zeta function of X is defined as

$$Z(X, T) = \exp\left(\sum_m \frac{N_m T^m}{m}\right) \in \mathbb{Q}[[T]]$$

The Weil conjectures assert that these zeta functions are rational functions, satisfy a certain functional equation, and have their zeros in restricted places

The Grothendieck-Lefschetz FPT gives a formula for N_m in terms of traces, which is the main step in proving that $Z(X, T)$ is rational

Thanks to Eugene Eyeson for useful discussions and references

- Milne, J. S. Etale Cohomology (PMS-33). Princeton University Press, 1980.
- <https://dept.math.lsa.umich.edu/~mmustata/lecture5.pdf>
- <https://people.math.harvard.edu/~mpopa/571/chapter2.pdf>
- Wikipedia pages on Lefschetz FPT, étale cohomology, Weil conjectures, and Weil cohomology theory