

# Pollard's and Lenstra's factorization algorithms.

Let's start by recalling the RSA cryptosystem.

Step 1. Bob chooses two distinct odd primes  $p$  and  $q$  and a number  $e$  with  $\gcd(e, (p-1)(q-1)) = 1$ . Then he publishes  $N = pq$  and  $e$ .

Step 2. Alice  $\rightarrow$    $\rightarrow$  Bob

Step 3. Bob recovers  $m$  via finding  $d \equiv e^{-1} \pmod{\varphi(N)}$  and taking  $C^d \equiv m^{ed} \equiv m^{k\varphi(N)+1} \equiv m \pmod{N}$ .

The key takeaway: to break RSA, one needs to find  $d \equiv e^{-1} \pmod{\varphi(N)}$ , in turn, to find  $d$  it suffices to factorize  $N$ .

## Pollard's $p-1$ method.

Idea: suppose that we managed to find a number  $L$ , s.t.  $p-1$  divides  $L$ ,  
 $q-1$  does not divide  $L$ .

Then  $a^L \equiv 1 \pmod{p}$ ,

$a^L \equiv a^\Gamma \pmod{q}$ , where  $\Gamma$  is the residue of the division of  $L$  by  $q-1$

we recover  $p$  as

$$p = \gcd(a^L - 1, N).$$

But how can we find such  $L$ ?

Suppose that all factors of  $p-1$  are relatively small:

$$p-1 = \prod_{i=1}^s a_i, \quad |a_i| \leq \beta \text{ for all } i \text{ and } \beta \text{ is 'reasonable'}$$

Then  $L = n!$  will work for sufficiently large value of  $n$ .

So we can simply check the values of  $\gcd(a^{n!} - 1, N)$  for  $n = 2, 3, \dots$  and some chosen base  $a$ , say,  $a = 2$ .

- ① If  $\gcd(a^{n!} - 1, N) = 1$ , take the next value of  $n$ .
- ② If  $\gcd(a^{n!} - 1, N) = N$ , choose a different base  $a$ .
- ③ If  $1 < \gcd(a^{n!} - 1, N) < N$ , then  $\gcd(a^{n!} - 1, N)$  is one of the two prime divisors of  $N$  ( $p$  or  $q$ ).

Rmk.  $a^{n!}$  is a very large number, but we only care about  $a^k \pmod{N}$ .

Rmk. We know that the fast powering algorithm computes  $a^{n!}$  in  $\mathcal{O}(2 \log_2 n!)$  steps. On the other hand, Stirling's formula states that  $\ln(n!) \sim n \ln(n) - n + \mathcal{O}(\ln(n))$

for large  $n$ . Hence,  $n! \sim \left(\frac{n}{e}\right)^n$  and we can compute  $a^{n!} \pmod{N}$  in  $\sim 2 \log_2 \left(\frac{n}{e}\right)^n \approx 2n \log_2 n$  steps, which is feasible.

Rmk. The algorithm works well in case of the numbers  $p-1$  or  $q-1$  factorizes into the product of small primes.

### Lenstra's elliptic curve factorization algorithm.

Let  $E$  be an elliptic curve with defining equation  $y^2 = x^3 + ax + b$  over  $\mathbb{Z}_N$  ( $N = pq$  as before, in particular  $\mathbb{Z}_N$  is not a field).

Take a point  $P = (p_x, p_y)$  on  $E$ , i.e.

$$p_y^2 \equiv p_x^3 + ap_x + b \pmod{N}.$$

Key observation. Since  $N$  is not prime, the group law formulas will not always work. For instance, let  $Q_k = kP$  and  $Q_s = sP$ .

We would like to find  $Q = Q_k \oplus Q_s$ . Using the formulas, we get

$$m \equiv \frac{(Q_k)_y - (Q_s)_y}{(Q_k)_x - (Q_s)_x} \quad \text{and} \quad Q_x \equiv m^2 - (Q_k)_x - (Q_s)_x.$$

In order for the formula to make sense, the solution to the congruence  $m \equiv \frac{(Q_k)_y - (Q_s)_y}{(Q_k)_x - (Q_s)_x} \pmod{N}$  must exist,

equivalently,  $\gcd((Q_k)_x - (Q_s)_x, N) = 1$ .

Thus, in case we fail to compute  $Q_k \oplus Q_s$ , we get a divisor of  $N$  on the way. <sup>①</sup>  
<sup>②</sup>

The algorithm works as follows. We compute (attempt to) the points  $2!P, 3!P, 4!P, \dots$ . There are 3 possibilities:

1. The computation is successful: we find  $n!P$ .

2. Somewhere in the computation, we had to find the inverse of a number  $d$ , divisible by  $N$ , and failed.

3. While the computation, we had to find the inverse of a number  $d$  with  $1 < \gcd(d, N) < N$ .

In this case we find a prime factor of  $N$ .

Rmk. We need to find a point  $P$  on  $E$  to start with.

Easy trick: start with  $P = (L, \beta)$ , pick any  $a$  and set

$$b \equiv \beta^2 - L^3 - a \cdot L \pmod{N}.$$

Rmk. Let  $N = pq$ , then we fail to add two points  $P$  and  $Q$  on  $E(\mathbb{Z}_N)$ , when  $P \oplus Q = \mathcal{O}$  on  $E(\mathbb{F}_p)$  or  $P \oplus Q = \mathcal{O}$  on  $E(\mathbb{F}_q)$  (or both). In case we are computing multiples of  $P$ , the failure occurs when  $m$  is divisible by the order of  $P$  on  $E(\mathbb{F}_p)$  or the order of  $P$  on  $E(\mathbb{F}_q)$ .

Example (Pollard's algorithm). Let  $N = 437$ , choose  $a = 2$ .

①  $n=1$ :  $\gcd(2^1 - 1, 437) = 1$

②  $n=2$ :  $\gcd(2^{2!} - 1, 437) = \gcd(3, 437) = 1$ .

③  $n=3$ :  $\gcd(2^{3!} - 1, 437) = \gcd(2^6 - 1, 437) = \gcd(63, 437) = 1$ .

④  $n=4$ :  $\gcd(2^{4!} - 1, 437) = \gcd(348, 437) = 1$ .  
 $(2^{4!} \equiv 349 \pmod{437})$

⑤  $n=5$ :  $\gcd(2^{5!} - 1, 437) = \gcd(338, 437) = 1$ .  
 $(2^{5!} \equiv 334 \pmod{437})$

⑥  $n=6$ :  $\gcd(2^{6!} - 1, 437) = \gcd(399, 437) = 19$ .  
 $(2^{6!} \equiv 400 \pmod{437})$

$(437 = 1 \cdot 399 + 38)$   
 $38 = 19 \cdot 2 + 0$

$$437 = 19 \cdot 23.$$

Rmk.  $19-1 = 18 = 2 \cdot 3^2$ ,  $6!$  is the first factorial, divisible by 18.  
 $23-1 = 2 \cdot 11$

Example. Consider the elliptic curve  $E$  given by equation  $y^2 = x^3 + 4x + 4$  over  $\mathbb{Z}/21\mathbb{Z}$  (the discriminant  $\Delta_f = 4 \cdot 4^3 + 27 \cdot 4^2 \equiv 4 + 6 \cdot 16 \equiv 100 \equiv 16 \not\equiv 0 \pmod{21}$ , so  $E$  is smooth).

Let  $P = (1, 3)$  and  $Q = (15, 4)$  be two points on  $E$ .

The line through points  $P$  and  $Q$  has slope  $m = \frac{4-3}{15-1} = \frac{1}{14}$ , but  $\gcd(14, 21) = 7$  is a divisor of 21, so 14 isn't invertible.

Next we take a look at  $E$  over  $\mathbb{F}_3$  and  $\mathbb{F}_7$  (here 3 and 7 are two prime factors of 21).

Over  $\mathbb{F}_3$ : the defining equation of  $E$  simplifies to  $y^2 = x^3 + x + 1$ . We notice that  $P$  has coordinates  $(1, 0)$ , so the order of  $P$  is 2 (it is on the  $x$ -axis).

Over  $\mathbb{F}_7$ :  $E$  has 10 points, and the order of  $P$  is equal to 5 (check it!)

Let's compute  $P \oplus P$ :

- over  $\mathbb{F}_3$ :  $P \oplus P = \mathcal{O}$ ;
- over  $\mathbb{F}_7$ : the slope of the tangent line is  $\frac{3 \cdot 1^2 + 4}{2 \cdot 3} \equiv 0$ ;  
x-coordinate of  $P \oplus P$  is  $0^2 - 2 \cdot 1 \equiv -2 \equiv 5$  and y-coordinate is  $-3 \equiv 4$ , hence  $P \oplus P = (5, 4)$ ;
- over  $\mathbb{Z}_{21}$ : the slope of the tangent line is  $\frac{3 \cdot 1^2 + 4}{2 \cdot 3} \equiv \frac{7}{6}$ , but  $\gcd(6, 21) = 3$ , hence, 6 is not invertible modulo 21 and 3 is a divisor of it.