## Today

Relations

- Binary relations and properties
- Relationship to functions
n-ary relations
- Definitions
- CS application: Relational DBMS


## Binary relations establish a relationship between elements of two sets

Definition: Let $A$ and $B$ be two sets. A binary relation from $A$ to $B$ is a subset of $A \times B$.

In other words, a binary relation $R$ is a set of ordered pairs $\left(a_{i}, b_{i}\right)$ where $a_{i} \in A$ and $b_{i} \in B$.

Notation: We say that

- $a R b$ if $(a, b) \in R$
- $a$ 仅 $b$ if $(a, b) \notin R$


## Example: Course Enrollments

Let's say that Alice and Bob are taking CS 441. Alice is also taking Math 336. Furthermore, Charlie is taking Art 212 and Business 444. Define a relation $R$ that represents the relationship between people and classes.

Solution:

- Let the set P denote people, so $\mathrm{P}=\{$ Alice, Bob, Charlie\}
- Let the set $C$ denote classes, so $C=\{C S ~ 441$, Math 336, Art 212, Business 444)
- By definition $\mathrm{R} \subseteq \mathrm{P} \times \mathrm{C}$
- From the above statement, we know that
$\kappa \quad$ (Alice, CS 441) $\in R$
$\kappa \quad$ (Bob, CS 441) $\in R$
$\kappa \quad$ (Alice, Math 336) $\in R$
$\kappa \quad$ (Charlie, Art 212) $\in R$
$\kappa \quad($ Charlie, Business 444) $\in R$
- So, $R=\{($ Alice, CS 441), (Bob, CS 441), (Alice, Math 336), (Charlie, Art 212), (Charlie, Business 444)\}


## A relation can also be represented as a graph

Let's say that Alice and Bob are taking CS 441. Alice is also taking Math 336. Furthermore, Charlie is taking Art 212 and Business 444. Define a relation R that represents the relationship between people and classes.
(Alice, CS 441) $\in \mathrm{R}$


## A relation can also be represented as a table

Let's say that Alice and Bob are taking CS 441. Alice is also taking Math 336. Furthermore, Charlie is taking Art 212 and Business 444. Define a relation R that represents the relationship between people and classes.

Name of the relation
Elements of C (i.e., courses)


## Wait, doesn't this mean that relations are the same as functions?

Not quite... Recall the following definition from past Lecture.

Definition: Let $A$ and $B$ be nonempty sets. A function, $f$, is an assignment of exactly one element of set $B$ to each element of set
A.

This would mean that, e.g., a person only be enrolled in one course!

Reconciling this with our definition of a relation, we see that

1. Every function is also a relation
2. Not every relation is a function

Let's see some quick examples...

## Short and sweet...

1. Consider $f: S \rightarrow G$

- Clearly a function
- Can also be represented as the relation $R=\{($ Anna, C), (Brian, A), (Christine A) $\}$


1. Consider the set $R=\{(A, 1),(A, 2)\}$

- Clearly a relation
- Cannot be represented as a function!



## We can also define binary relations on a single set

Definition: A relation on the set $A$ is a relation from $A$ to $A$. That is, a relation on the set $A$ is a subset of $A \times A$.

Example: Let $A$ be the set $\{1,2,3,4\}$. Which ordered pairs are in the relation $R=\{(a, b) \mid$ a divides $b\}$ ?

## We can also define binary relations on a single set

Definition: A relation on the set $A$ is a relation from $A$ to $A$. That is, a relation on the set $A$ is a subset of $A \times A$.

Example: Let A be the set $\{1,2,3,4\}$. Which ordered pairs are in the relation $R=\{(a, b) \mid a \operatorname{divides} b\}$ ?

## Solution:

- 1 divides everything
(1,1), (1,2), (1,3), (1,4)
- 2 divides itself and 4
$(2,2),(2,4)$
- 3 divides itself
- 4 divides itself
- So, $R=\{(1,1),(1,2),(1,3),(1,4),(2,2),(2,4),(3,3),(4,4)\}$


## Representing the last example as a graph...

Example: Let A be the set $\{1,2,3,4\}$. Which ordered pairs are in the relation $R=\{(a, b) \mid$ a divides $b\}$ ?


## Tell me what you know...

Question: Which of the following relations contain each of the pairs $(1,1),(1,2),(2,1),(1,-1)$, and $(2,2)$ ?

- $R_{1}=\{(a, b) \mid a \leq b\}$
- $R_{2}=\{(a, b) \mid a>b\}$
- $R_{3}=\{(a, b) \mid a=b$ or $a=-b\}$


These are all relations on an infinite set!

- $R_{4}=\{(a, b) \mid a=b\}$
- $R_{5}=\{(a, b) \mid a=b+1\}$
- $R_{6}=\{(a, b) \mid a+b \leq 3\}$

|  | $(1,1)$ | $(1,2)$ | $(2,1)$ | $(1,-1)$ | $(2,2)$ |
| :--- | :--- | :--- | :--- | :--- | :--- |
| $R_{1}$ |  |  |  |  |  |
| $R_{2}$ |  |  |  |  |  |
| $R_{3}$ |  |  |  |  |  |
| $R_{4}$ |  |  |  |  |  |
| $R_{5}$ |  |  |  |  |  |
| $R_{6}$ |  |  |  |  |  |

## Tell me what you know...

Question: Which of the following relations contain each of the pairs $(1,1),(1,2),(2,1),(1,-1)$, and $(2,2)$ ?

- $R_{1}=\{(a, b) \mid a \leq b\}$
- $R_{2}=\{(a, b) \mid a>b\}$
- $R_{3}=\{(a, b) \mid a=b$ or $a=-b\}$
- $R_{4}=\{(a, b) \mid a=b\}$
- $R_{5}=\{(a, b) \mid a=b+1\}$
- $R_{6}=\{(a, b) \mid a+b \leq 3\}$

Answer:

|  | $(1,1)$ | $(1,2)$ | $(2,1)$ | $(1,-1)$ | $(2,2)$ |
| :---: | :---: | :---: | :---: | :---: | :---: |
| $R_{1}$ | Yes | Yes | No | No | Yes |
| $R_{2}$ | No | No | Yes | Yes | No |
| $R_{3}$ | Yes | No | No | Yes | Yes |
| $R_{4}$ | Yes | No | No | No | Yes |
| $R_{5}$ | No | No | Yes | No | No |
| $R_{6}$ | Yes | Yes | Yes | Yes | No |

## Properties of Relations

Definition: A relation $R$ on a set $A$ is reflexive if $(a, a) \in R$ for every $a \in A$.

Note: Our "divides" relation on the set $A=\{1,2,3,4\}$ is reflexive.


## Properties of Relations

Definition: A relation $R$ on a set $A$ is symmetric if $(b, a) \in R$ whenever $(a, b) \in R$ for every $a, b \in A$. If $R$ is a relation in which $(a, b) \in R$ and $(b, a) \in R$ implies that $a=b$, we say that $R$ is antisymmetric.

## Mathematically:

- Symmetric: $\forall a \forall b((a, b) \in R \rightarrow(b, a) \in R)$
- Antisymmetric: $\forall a \forall b(((a, b) \in R \wedge(b, a) \in R) \rightarrow(a=b))$


## Examples:

- Symmetric: $R=\{(1,1),(1,2),(2,1),(2,3),(3,2),(1,4),(4,1),(4,4)\}$
- Antisymmetric: $R=\{(1,1),(1,2),(1,3),(1,4),(2,4),(3,3),(4,4)\}$


## Symmetric and Antisymmetric Relations

$$
R=\left\{\begin{array}{c}
\{(1,1),(1,2),(2,1),(2,3),(3,2), \\
(1,4),(4,1),(4,4)\}
\end{array}\right.
$$

|  | 1 | 2 | 3 | 4 |
| :--- | :--- | :--- | :--- | :--- |
| 1 | $X$ | $X$ | $X$ | $X$ |
| 2 | $X$ |  | $X$ |  |
| 3 | $X$ | $X$ |  |  |
| 4 | $X$ |  |  | $X$ |

## Symmetric relation

- Diagonal axis of symmetry
- Not all elements on the axis of symmetry need to be included in the relation

$$
\begin{gathered}
R=\{(1,1),(1,2),(1,3),(1,4), \\
\\
(2,4),(3,3),(4,4)\}
\end{gathered}
$$

|  | 1 | 2 | 3 | 4 |
| :--- | :--- | :--- | :--- | :--- |
| 1 | $x$ | $x$ | $x$ | $x$ |
| 2 |  |  |  | $x$ |
| 3 |  |  | $x$ |  |
| 4 |  |  |  | $x$ |

## Asymmetric relation

- No axis of symmetry
- Only symmetry occurs on diagonal
- Not all elements on the diagonal need to be included in the relation


## Properties of Relations

Definition: A relation $R$ on a set $A$ is transitive if whenver $(a, b) \in$ $R$ and $(b, c) \in R$, then $(a, c) \in R$ for every $a, b, c \in A$.

Note: Our "divides" relation on the set $A=\{1,2,3,4\}$ is transitive.


This isn't terribly interesting, but it is transitive nonetheless....

More common transitive relations include equality and comparison operators like <, $>, \leq$, and $\geq$.

## Examples, redux

Question: Which of the following relations are reflexive, symmetric, antisymmetric, and/or transitive?

- $R_{1}=\{(a, b) \mid a \leq b\}$
- $R_{2}=\{(a, b) \mid a>b\}$
- $R_{3}=\{(a, b) \mid a=b$ or $a=-b\}$
- $R_{4}=\{(a, b) \mid a=b\}$
- $R_{5}=\{(a, b) \mid a=b+1\}$
- $R_{6}=\{(a, b) \mid a+b \leq 3\}$

Answer:

## Examples, redux

Question: Which of the following relations are reflexive, symmetric, antisymmetric, and/or transitive?

- $R_{1}=\{(a, b) \mid a \leq b\}$
- $R_{2}=\{(a, b) \mid a>b\}$
- $R_{3}=\{(a, b) \mid a=b$ or $a=-b\}$
- $R_{4}=\{(a, b) \mid a=b\}$
- $R_{5}=\{(a, b) \mid a=b+1\}$
- $R_{6}=\{(a, b) \mid a+b \leq 3\}$


## Answer:

|  | Reflexive | Symmetric | Antisymmetric | Transitive |
| :--- | :---: | :---: | :---: | :---: |
| $R_{1}$ | Yes | No | Yes | Yes |
| $R_{2}$ | No | No | Yes | Yes |
| $R_{3}$ | Yes | Yes | No | Yes |
| $R_{4}$ | Yes | Yes | Yes | Yes |
| $R_{5}$ | No | No | Yes | No |
| $R_{6}$ | No | Yes | No | No |

## Relations can be combined using set operations

Example: Let R be the relation that pairs students with courses that they have taken. Let S be the relation that pairs students with courses that they need to graduate. What do the relations $R$ $\cup S, R \cap S$, and $S$ - $R$ represent?

## Solution:

- R U S = All pairs (a,b) where
$\kappa$ student a has taken course b OR
$\kappa$ student a needs to take course $b$ to graduate
- $R \cap S=$ All pairs $(a, b)$ where
$\kappa$ Student a has taken course b AND
$\kappa$ Student a needs course b to graduate

- $\mathrm{S}-\mathrm{R}=$ All pairs (a,b) where
$\kappa$ Student a needs to take course $b$ to graduate BUT
$\kappa$ Student a has not yet taken course b


## Relations can be combined using functional composition

Definition: Let $R$ be a relation from the set $A$ to the set $B$, and $S$ be a relation from the set $B$ to the set $C$. The composite of $R$ and $S$ is the relation of ordered pairs $(a, c)$, where $a \in A$ and $c \in C$ for which there exists an element $b \in B$ such that $(a, b) \in R$ and ( $b$, c) $\in S$. We denote the composite of $R$ and $S$ by $R^{\circ} S$.

Example: What is the composite relation of $R$ and $S$ ?

$$
\begin{aligned}
& R:\{1,2,3\} \rightarrow\{1,2,3,4\} \\
& \text { - } R=\{(1,1),(1,4),(2,3),(3,1),(3,4)\} \\
& S:\{1,2,3,4\} \rightarrow\{0,1,2\} \\
& \text { - } S=\{(1,0),(2,0),(3,1),(3,2),(4,1)\}
\end{aligned}
$$

So: $R^{\circ} S=\{(1,0),(3,0),(1,1),(3,1),(2,1),(2,2)\}$

## We can also "relate" elements of more than two sets

Definition: Let $A_{1}, A_{2}, \ldots, A_{n}$ be sets. An $n$-ary relation on these sets is a subset of $A_{1} \times A_{2} \times \ldots \times A_{n}$. The sets $A_{1}, A_{2}, \ldots, A_{n}$ are called the domains of the relation, and $n$ is its degree.

Example: Let R be the relation on $\mathbf{Z} \times \mathbf{Z} \times \mathbf{Z}$ consisting of triples $(a, b, c)$ in which $a, b, c$ form an arithmetic progression. That is $(a, b, c) \in R$ iff there exist some $k$ integer such that $b=a+k$ and $c=a+2 k$.

- What is the degree of this relation?
- What are the domains of this relation?
- Are the following tuples in this relation?

К $(1,3,5) \quad ? ?$
К $(2,5,9)$ ??

## We can also "relate" elements of more than two sets

Definition: Let $A_{1}, A_{2}, \ldots, A_{n}$ be sets. An $n$-ary relation on these sets is a subset of $A_{1} \times A_{2} \times \ldots \times A_{n}$. The sets $A_{1}, A_{2}, \ldots, A_{n}$ are called the domains of the relation, and $n$ is its degree.

Example: Let R be the relation on $\mathbf{Z} \times \mathbf{Z} \times \mathbf{Z}$ consisting of triples ( $a, b, c$ ) in which $a, b, c$ form an arithmetic progression. That is $(a, b, c) \in R$ iff there exist some $k$ integer such that $b=a+k$ and $c=a+2 k$.

- What is the degree of this relation?
- What are the domains of this relation? Ints, Ints, Ints
- Are the following tuples in this relation?

$$
\begin{array}{ll}
\kappa(1,3,5) & 3=1+2 \text { and } 5=1+2^{*} 2 \\
\AA(2,5,9) & 5=2+3 \text { but } 9 \neq 2+2 * 3
\end{array}
$$

## N -ary relations are the basis of relational database management systems

Data is stored in relations (a.k.a., tables)

| Students |  |  |  |
| :--- | :---: | :---: | :---: |
| Name | ID | Major | GPA |
| Alice | 334322 | CS | 3.45 |
| Bob | 546346 | Math | 3.23 |
| Charlie | 045628 | CS | 2.75 |
| Denise | 964389 | Art | 4.0 |


| Enrollment |  |
| :---: | :---: |
| Stud_ID | Course |
| 334322 | CS 441 |
| 334322 | Math 336 |
| 546346 | Math 422 |
| 964389 | Art 707 |

Columns of a table represent the attributes of a relation

Rows, or records, contain the actual data defining the relation

## Operations on an RDBMS are formally defined in terms of a relational algebra

Relational algebra gives a formal semantics to the operations performed on a database by rigorously defining these operations in terms of manipulations on sets of tuples (i.e., records)

Operators in relational algebra include:

- Selection
- Projection
- Rename
- Join
$\therefore$ Equijoin
К Left outer join
$\kappa$ Right outer join
К ...
- Aggregation



## The selection operator allows us to filter the rows in a table

Definition: Let R be an n -ary relation and let C be a condition that elements in R must satisfy. The selection $\mathrm{s}_{\mathrm{C}}$ maps the n -ary relation R to the $n$-ary relation of all $n$-tuples from $R$ that satisfy the condition $C$.

Example: Consider the Students relation from earlier in lecture. Let the condition C1 be Major="CS" and let C2 be GPA > 2.5. What is the result of $\mathrm{s}_{\mathrm{C} 1 \wedge \mathrm{C} 2}$ (Students)?

## Answer:

- (Alice, 334322, CS, 3.45)
- (Charlie, 045628, CS, 2.75)

| Students |  |  |  |
| :--- | :---: | :---: | :---: |
| Name | ID | Major | GPA |
| Alice | 334322 | CS | 3.45 |
| Bob | 546346 | Math | 3.23 |
| Charlie | 045628 | CS | 2.75 |
| Denise | 964389 | Art | 4.0 |

## The projection operator allows us to consider only a

 subset of the columns of a tableDefinition: The projection $P_{i 1, \ldots, \text { in }}$ maps the $n$-tuple $\left(a_{1}, a_{2}, \ldots, a_{n}\right)$ to the m-tuple $\left(a_{i 1}, \ldots, a_{i m}\right)$ where $m \leq n$

Example: What is the result of applying the projection $\mathrm{P}_{1,3}$ to the Students table?

| Students |  |  |  |
| :--- | :---: | :---: | :---: |
| Name | ID | Major | GPA |
| Alice | 334322 | CS | 3.45 |
| Bob | 546346 | Math | 3.23 |
| Charlie | 045628 | CS | 2.75 |
| Denise | 964389 | Art | 4.0 |


| Name | Major |
| :--- | :---: |
| Alice | CS |
| Bob | Math |
| Charlie | CS |
| Denise | Art |

## The equijoin operator allows us to create a new table

 based on data from two or more related tablesDefinition: Let $R$ be a relation of degree $m$ and $S$ be a relation of degree $n$. The equijoin $J_{i 11=j 1, \ldots, i k=j k}$, where $k \leq m$ and $k \leq n$, creates a new relation of degree $m+n-k$ containing the subset of $S \times R$ in which $\mathrm{s}_{\mathrm{i} 1}=\mathrm{r}_{\mathrm{j} 1}, \ldots, \mathrm{~s}_{\mathrm{ik}}=\mathrm{r}_{\mathrm{jk}}$ and duplicate columns are removed (via projection).

Example: What is the result of the equijoin $\mathrm{J}_{2=1}$ on the Students and Enrollment tables?

| Students |  |  |  |
| :--- | :---: | :---: | :---: |
| Name | ID | Major | GPA |
| Alice | 334322 | CS | 3.45 |
| Bob | 546346 | Math | 3.23 |
| Charlie | 045628 | CS | 2.75 |
| Denise | 964389 | Art | 4.0 |


| Enrollment |  |
| :---: | :---: |
| Stud_ID | Course |
| 334322 | CS 441 |
| 334322 | Math 336 |
| 546346 | Math 422 |
| 964389 | Art 707 |

## What is the result of the equijoin $\mathrm{J}_{2=1}$ on the Students and Enrollment tables?

| Students |  |  |  |
| :--- | :---: | :---: | :---: |
| Name | ID | Major | GPA |
| Alice | 334322 | CS | 3.45 |
| Bob | 546346 | Math | 3.23 |
| Charlie | 045628 | CS | 2.75 |
| Denise | 964389 | Art | 4.0 |


| Enrollment |  |
| :---: | :---: |
| Stud_ID | Course |
| 334322 | CS 441 |
| 334322 | Math 336 |
| 546346 | Math 422 |
| 964389 | Art 707 |


| Name | ID | Major | GPA | Course |
| :--- | :---: | :---: | :---: | :---: |
| Alice | 334322 | CS | 3.45 | CS 441 |
| Alice | 334322 | CS | 3.45 | Math 336 |
| Bob | 546346 | Math | 3.23 | Math 422 |
| Denise | 964389 | Art | 4.0 | Art 707 |

## SQL queries correspond to statements in relational algebra

Students

| Name | ID | Major | GPA |
| :--- | :---: | :---: | :---: |
| Alice | 334322 | CS | 3.45 |
| Bob | 546346 | Math | 3.23 |
| Charlie | 045628 | CS | 2.75 |
| Denise | 964389 | Art | 4.0 |


| Name | ID |
| :--- | :---: |
| Alice | 334322 |
| Charlie | 045628 |

SELECT Name, ID FROM Students WHERE Major = "CS" AND

GPA > $2.5 \uparrow$

SELECT is actually a projection (in this case, $\mathrm{P}_{1,2}$ )

The WHERE clause lets us filter (i.e., $\mathrm{S}_{\text {major="CS" } \wedge \text { GPA }}$ 2.5)

## SQL: An Equijoin Example

| Students |  |  |  |
| :--- | :---: | :---: | :---: |
| Name | ID | Major | GPA |
| Alice | 334322 | CS | 3.45 |
| Bob | 546346 | Math | 3.23 |
| Charlie | 045628 | CS | 2.75 |
| Denise | 964389 | Art | 4.0 |


| Enrollment |  |
| :---: | :---: |
| Stud_ID | Course |
| 334322 | CS 441 |
| 334322 | Math 336 |
| 546346 | Math 422 |
| 964389 | Art 707 |

SELECT Name, ID, Major, GPA, Course FROM Students, Enrollment WHERE ID = Stud_ID

| Name | ID | Major | GPA | Course |
| :--- | :---: | :---: | :---: | :---: |
| Alice | 334322 | CS | 3.45 | CS 441 |
| Alice | 334322 | CS | 3.45 | Math 336 |
| Bob | 546346 | Math | 3.23 | Math 422 |
| Denise | 964389 | Art | 4.0 | Art 707 |

## Group Work!

| Students |  |  |  |
| :--- | :---: | :---: | :---: |
| Name | ID | Major | GPA |
| Alice | 334322 | CS | 3.45 |
| Bob | 546346 | Math | 3.23 |
| Charlie | 045628 | CS | 2.75 |
| Denise | 964389 | Art | 4.0 |


| Enrollment |  |
| :---: | :---: |
| Stud_ID | Course |
| 334322 | CS 441 |
| 334322 | Math 336 |
| 546346 | Math 422 |
| 964389 | Art 707 |

Problem 1: What is $\mathrm{P}_{1,4}$ (Students)?

Problem 2: What relational operators would you use to generate a table containing only the names of Math and CS majors with a GPA > 3.0?

Problem 3: Write an SQL statement corresponding to the solution to problem 2.

