

# Sequences are ordered lists of elements



**Definition:** A **sequence** is a function from the set of integers, either set  $\{0, 1, 2, 3, \dots\}$  or set  $\{1, 2, 3, 4, \dots\}$ , to a set  $S$ . We use the notation  $a_n$  to denote the image of the integer  $n$ .  $a_n$  is called a **term** of the sequence.

## **Examples:**

- 1, 3, 5, 7, 9, 11                      A sequence with 6 terms
- A second example can be described as the sequence  $\{a_n\}$  where  $a_n = 1/n$

1, 1/2, 1/3, 1/4, 1/5, ...                      An infinite sequence



# What makes sequences so special?

**Question:** Aren't sequences just sets?

**Answer:** The elements of a sequence are members of a set, but a sequence is **ordered**, a set is not.

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**Question:** How are sequences different from ordered n-tuples?

**Answer:** An ordered n-tuple is ordered, but always contains n elements. Sequences can be infinite!



# Some special sequences

**Geometric progressions** are sequences of the form  $\{ar^n\}$  where  $a$  and  $r$  are real numbers  $a, ar, ar^2, \dots, ar^n$ .

Note: a geometric progression is a discrete analogue of the exponential function  $f(x) = ar^x$ .

**Examples:**

- 1, 1/2, 1/4, 1/8, 1/16, ...  $a = 1, r = 1/2$
- 1, -1, 1, -1, 1, -1, ...  $a = 1, r = -1$
- $\{d_n\}$  where  $d_n = 6 \cdot (1/3)^n$  in terms of  $d_0, d_1, d_2, d_3, \dots$   
6, 2, 2/3, 2/9, 2/27, .....  $a=6$  and  $r=1/3$

**Arithmetic progressions** are sequences of the form  $\{a + nd\}$  where  $a$  (*initial term*) and  $d$  (*common difference*) are real numbers.  $a, a+d, a+2d, \dots, a+nd, \dots$

**Examples:**

- 2, 4, 6, 8, 10, ...  $a = 2, d = 2$
- -10, -15, -20, -25, ...  $a = -10, d = -5$

Note: a geometric progression is a discrete analogue of the linear function  $f(x) = dx+a$ .

# Sometimes we need to figure out the formula for a sequence given only a few terms



## Questions to ask yourself:

1. Are there runs of the same value?
2. Are terms obtained by multiplying the previous value by a particular amount? (Possible geometric sequence)
3. Are terms obtained by adding a particular amount to the previous value? (Possible arithmetic sequence)
4. Are terms obtained by combining previous terms in a certain way?
5. Are there cycles amongst terms?



# What are the formulas for these sequences?

**Problem 1:** 1, 5, 9, 13, 17, ... ??????

**Problem 2:** 1, 3, 9, 27, 81, ... ?????

**Problem 3:** 2, 3, 3, 5, 5, 5, 7, 7, 7, 7, 11, 11, 11, 11,  
11, ... ??????????

**Problem 4:** 1, 1, 2, 3, 5, 8, 13, 21, 34, ... ???????

# What are the formulas for these sequences?



**Problem 1:** 1, 5, 9, 13, 17, ...

- Arithmetic sequence with  $a = 1$ ,  $d = 4$

**Problem 2:** 1, 3, 9, 27, 81, ...

- Geometric sequence with  $a = 1$ ,  $r = 3$

**Problem 3:** 2, 3, 3, 5, 5, 5, 7, 7, 7, 7, 11, 11, 11, 11, 11, ...

- Sequence in which the  $n^{\text{th}}$  prime number is listed  $n$  times

**Problem 4:** 1, 1, 2, 3, 5, 8, 13, 21, 34, ...

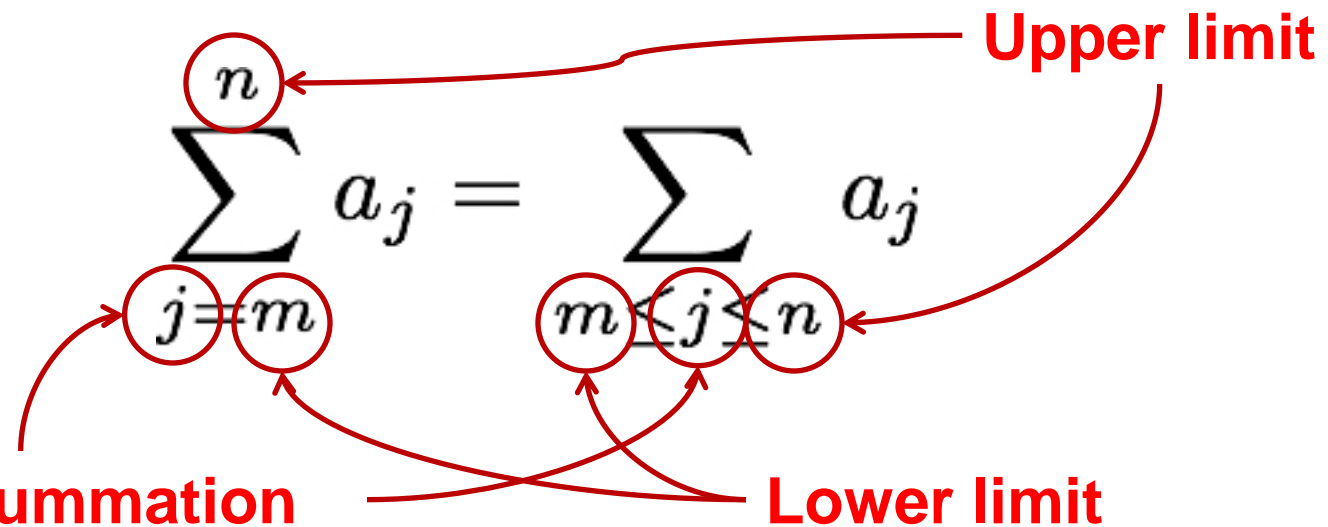
- Each term is the sum of the two previous terms

**This is called the Fibonacci sequence.**

Sometimes we want to find the sum of the terms  
in a sequence



**Summation notation** lets us compactly  
represent the sum of terms  $a_m + a_{m+1} + \dots + a_n$



The diagram shows the summation notation  $\sum_{j=m}^n a_j = \sum_{m \leq j \leq n} a_j$ . Red circles highlight the components:  $n$  (upper limit),  $j=m$  (index of summation), and  $m \leq j \leq n$  (lower limit). Red arrows point from the labels to these components.

**Upper limit**

**Index of summation**

**Lower limit**

**Example:**  $\sum_{1 \leq i \leq 5} i^2 = 1 + 4 + 9 + 16 + 25 = 55$

# The usual laws of arithmetic still apply



$$\sum_{j=1}^n (ax_j + by_j - cz_j) = a \sum_{j=1}^n x_j + b \sum_{j=1}^n y_j - c \sum_{j=1}^n z_j$$

**Constant factors can be pulled out of the summation**

**A summation over a sum (or difference) can be split into a sum (or difference) of smaller summations**

***Example:***

- $\sum_{1 \leq j \leq 3} (4j + j^2) = (4+1) + (8+4) + (12+9) = 38$
- $4\sum_{1 \leq j \leq 3} j + \sum_{1 \leq j \leq 3} j^2 = 4(1+2+3) + (1+4+9) = 38$





# Example sums

**Example:** Express the sum of the first 50 terms of the sequence  $1/n^2$  for  $n = 1, 2, 3, \dots$

**Answer:** 
$$\sum_{j=1}^{50} \frac{1}{j^2}$$

**Example:** What is the value of  $\sum_{k=4}^8 (-1)^k$

**Answer:**

$$\begin{aligned} \sum_{k=4}^8 (-1)^k &= (-1)^4 + (-1)^5 + (-1)^6 + (-1)^7 + (-1)^8 \\ &= 1 + (-1) + 1 + (-1) + 1 \\ &= 1 \end{aligned}$$

# We can also compute the summation of the elements of some set



**Example:** Compute  $\sum_{s \in \{0,2,4,6\}} (s + 2)$

**Answer:**  $(0 + 2) + (2 + 2) + (4 + 2) + (6 + 2) = 20$

**Example:** Let  $f(x) = x^3 + 1$ . Compute  $\sum_{s \in \{1,3,5,7\}} f(s)$

**Answer:**  $f(1) + f(3) + f(5) + f(7) = 2 + 28 + 126 + 344 = 500$

# Sometimes it is helpful to shift the index of a summation



This is particularly useful when **combining** two or more summations. For example:

$$S = \sum_{j=1}^{10} j^2 + \sum_{k=2}^{11} (2k - 1)$$

**Let  $j = k - 1$**

$$= \sum_{j=1}^{10} j^2 + \sum_{j=1}^{10} (2(j + 1) - 1)$$

**Need to add 1 to each  $j$**

$$= \sum_{j=1}^{10} (j^2 + 2(j + 1) - 1)$$

$$= \sum_{j=1}^{10} (j^2 + 2j + 1)$$

$$= \sum_{j=1}^{10} (j + 1)^2$$

# Summations can be nested within one another



Often, you'll see this when analyzing nested loops within a program (i.e., CS 1502)

**Example:** Compute  $\sum_{j=1}^4 \sum_{k=1}^3 (jk)$

**Solution:**

$$\begin{aligned} \sum_{j=1}^4 \sum_{k=1}^3 (jk) &= \sum_{j=1}^4 (j + 2j + 3j) && \text{Expand inner sum} \\ &= \sum_{j=1}^4 6j && \text{Simplify if possible} \\ &= 6 + 12 + 18 + 24 = 60 && \text{Expand outer sum} \end{aligned}$$

# Computing the sum of a geometric series by hand is time consuming...



Would you **really** want to calculate  $\sum_{j=0}^{20} (6 \times 2^j)$  by hand?

Fortunately, we have a **closed-form solution** for computing the sum of a geometric series:

$$\sum_{j=0}^n ar^j = \begin{cases} \frac{ar^{n+1} - a}{r - 1} & \text{if } r \neq 1 \\ (n + 1)a & \text{if } r = 1 \end{cases}$$

So,  $\sum_{j=0}^{20} (6 \times 2^j) = \frac{6 \times 2^{21} - 6}{2 - 1} = 12,582,906$

# There are other closed form summations that you should know



<i>Sum</i>	<i>Closed Form</i>
$\sum_{j=1}^n j$	$\frac{n(n+1)}{2}$
$\sum_{j=1}^n j^2$	$\frac{n(n+1)(2n+1)}{6}$
$\sum_{j=1}^n j^3$	$\frac{n^2(n+1)^2}{4}$

# We can use the notion of sequences to analyze the cardinality of infinite sets



**Definition:** Two sets  $A$  and  $B$  have the **same cardinality** if and only if there is a one-to-one correspondence from  $A$  to  $B$ .

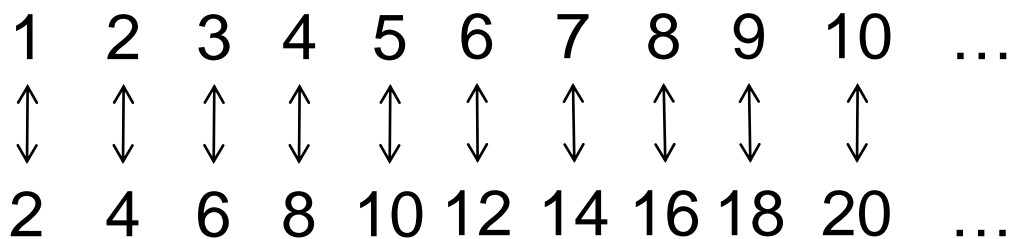
**Definition:** A finite set or a set that has the same cardinality as the natural numbers is called **countable**. A set that is not countable is called **uncountable**.

**Implication:** Any sequence  $\{a_n\}$  ranging over the natural numbers is countable.

# Show that the set of even positive integers is countable



**Proof #1 (Graphical):** We have the following 1-to-1 correspondence between the natural numbers and the even positive integers:



So, the even positive integers are countable.  $\square$

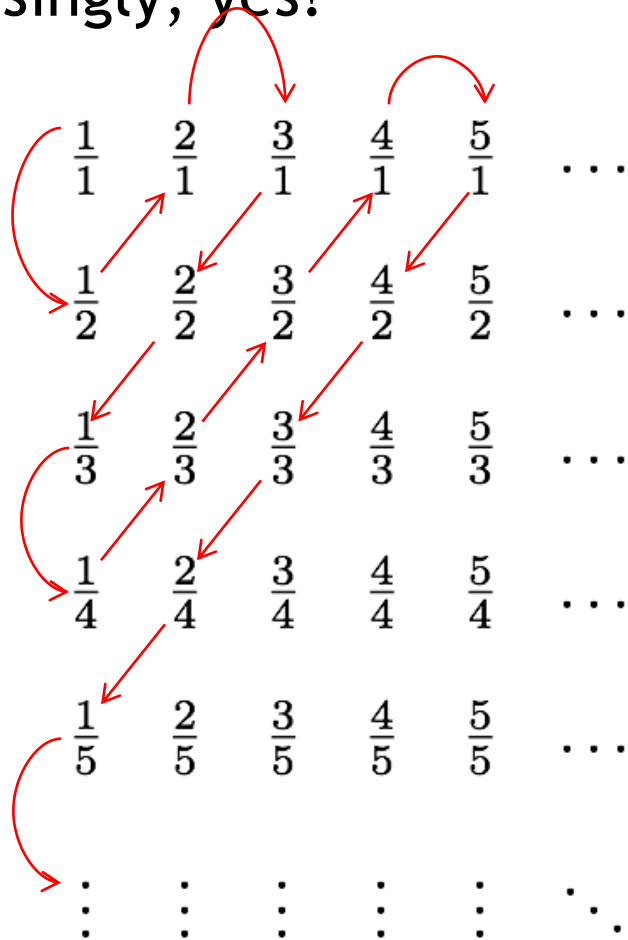
**Proof #2:** We can define the even positive integers as the sequence  $\{2k\}$  for all  $k \in \mathbf{N}$ , so it has the same cardinality as  $\mathbf{N}$ , and is thus countable.  $\square$



# Is the set of all rational numbers countable?



Perhaps surprisingly, yes!



This yields the sequence  $1/1, 1/2, 2/1, 3/1, 1/3, \dots$ , so the set of rational numbers is countable.  $\square$



# Is the set of real numbers countable?

**No**, it is not. We can prove this using a proof method called diagonalization, invented by Georg Cantor.

**Proof:** Assume that the set of real numbers is countable. Then the subset of real numbers between 0 and 1 is also countable, by definition. This implies that the real numbers can be listed in some order, say,  $r_1, r_2, r_3 \dots$

Let the decimal representation these numbers be:

$$r_1 = 0.d_{11}d_{12}d_{13}d_{14}\dots$$

$$r_2 = 0.d_{21}d_{22}d_{23}d_{24}\dots$$

$$r_3 = 0.d_{31}d_{32}d_{33}d_{34}\dots$$

...

Where  $d_{ij} \in \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\} \forall i, j$





# Proof (continued)

Now, form a new decimal number  $r=0.d_1d_2d_3\dots$  where  $d_i = 0$  if  $d_{ii} = 1$ , and  $d_i=1$  otherwise.

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Example:

$$r_1 = 0.123456\dots$$

$$r_2 = 0.234524\dots$$

$$r_3 = 0.631234\dots$$

...

$$r = 0.010\dots$$

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Note that the  $i^{\text{th}}$  decimal place of  $r$  differs from the  $i^{\text{th}}$  decimal place of each  $r_i$ , by construction. Thus  $r$  is not included in the list of all real numbers between 0 and 1. This is a contradiction of the assumption that all real numbers between 0 and 1 could be listed. Thus, not all real numbers can be listed, and  $\mathbf{R}$  is uncountable.  $\square$