

## **DRAFT**

Chapter from a book, *The Material Theory of Induction*, now in preparation.

# **No Place to Stand: The Incompleteness of All Calculi of Inductive Inference**

John D. Norton<sup>1</sup>

Department of History and Philosophy of Science

University of Pittsburgh

<http://www.peditt.edu/~jdnorton>

## **1. Introduction**

The previous two chapters have sought to show that the probability calculus cannot serve as a universally applicable logic of inductive inference. We may well wonder whether there might be some other calculus of inductive inference that can be applied universally. It would, perhaps, arise through a weakening of the probability calculus. The principal source of difficulty addressed in those chapters was the additivity of the probability calculus. Such a weakening seems possible as far as additivity is concerned. Something like it is achieved with the Shafer-Dempster theory of belief functions. However there is a second, lingering problem. Bayesian analyses require prior probabilities. As we shall see below, these prior probabilities are never benign. They always make a difference to the final result.

For a long time, I hoped to find an extension of or alternative to the probability calculus that would afford us a truly neutral initial state. We could then proceed to incorporate the

---

<sup>1</sup> I am grateful for helpful discussion especially to Wayne Myrvold and to Yann Ben treau-Dupin and the Fellows of the Center for Philosophy of Science, Spring Term, 2015, who urged me to write this introductory account.

evidence, free from the worry that the unsupported choice of a prior state might somehow compromise the analysis. These efforts failed, again and again. Eventually I came to see that they failed for a good reason of principle: there is no calculus of inductive inference that can support this fully neutral initial state and still admit the nontrivial incorporation of new evidence.

A technically detailed statement and demonstration of this result is in Norton (forthcoming) and readers are referred to it for these details. The burden of this present chapter is to give an introductory account of the result and its import, suppressing as much as possible of the distracting technical details. For, as we shall see, the result itself rather simple in conception. Indeed it is so simple that I believe the only reason we have not had the result as a staple in our literature is that no one thought to look for it.

The earlier Sections 2-5 below describe what it would be for a calculus of inductive inference to be complete, using the illustration of the Bayesian analysis of simplicity; and they explain why completeness is desirable, if only it could be secured. In brief, completeness is achieved when computations in the calculus are carried out in a domain sufficiently large so that the computations do not need to call upon inductive content that is external to the domain. Completeness provides us an evidentially neutral “place to stand”<sup>2</sup> prior to any considerations of evidence. We then modify this initial state, moving away from neutrality, under the import of evidence. This neutral starting point would allow us to characterize inductive inference merely as inference that conforms to the calculus at issue, for no external inductive content would be needed. Any deviations from neutrality would solely result from the import of evidence. This characterization would provide a clear and simple solution to the enduring foundational problems of inductive inference. All such problems would be reduced to questions answerable by computation in the calculus.

This attractive solution to the foundational problems fails. Non-trivial calculi of inductive inference are incomplete. None provide an evidentially neutral place to stand. These incomplete calculi include many more than just the probability calculus. This incompleteness explains why particular calculi of inductive inference are beset by lingering difficulties. The Bayesian system is perpetually struggling to overcome the problem of the priors. Augmented calculi are

---

<sup>2</sup> This phrase alludes to Archimedes’ celebrated boast in the context of the principle of the lever: “Give me a place to stand and I shall move the world.”

repeatedly proposed to solve problems in older calculi, while none manages without its own, new problems. All these problems arise because we are really trying to formulate a complete calculus of inductive inference. That they must linger unsolved does not derive from a failure of our imagination to hit upon just the right solution. It is a necessity derived from incompleteness.

Section 6-14 below provide a simplified guide to the full proof of this failure, given elsewhere. Here is a terse summary of the main result that will be introduced and explained in greater detail in this chapter. The incompleteness arises from the combination of two desirable properties of calculi of inductive inference.

The first property is an expression of completeness: we can find a sufficiently large set of propositions in which the inductive strengths of support are fixed by relations in the set, without the need to import any inductive content from outside it. Since the only other inferential resources within the set are the deductive relations among the propositions, this amounts to requiring that the inductive strengths of support are fixed by the deductive relations among the propositions in the set. This requirement is unremarkable. The Kolmogorov axioms of probability theory are a routine part of such a specification. These axioms adapt the probabilities to the deductive structure. They need only a small supplement to fix the probabilities uniquely.

The second property involves disjunctive refinements of propositions. Through them we replace a proposition

“Person X is in Boston.”

by a disjunction of its disjunctive parts:

“Person X is in Boston-location-1 or Person X is in Boston-location-2 or  
... or Person X is in Boston-location-r.”

Such disjunctive refinement increases the expressive power of the set of propositions and leads to adjustments of the inductive strengths of support. The requirement of asymptotic stability asserts that continuing disjunctive refinement eventually provides such diminished further power that the inductive strengths of support among some fixed set of propositions stabilize to limiting values. Further refinement eventually becomes inert, inductive hair-splitting.

The failure of the completeness resides in the impossibility of sustaining both properties.<sup>3</sup> In briefest terms, the deductive closure of any set of propositions is highly symmetric. Each of the non-contradictory, logically strongest propositions—the “atoms”—enter into the same deductive relations. As a result, a deductively definable logic of induction must treat them alike. Each new disjunctive refinement will alter the atoms and, as a result, the inductive strengths throughout the set. It turns out that a deductively definable logic of induction will continue to respond without stabilization to suitably crafted, continuing disjunctive refinements, unless it is a trivial logic that assigns the same limiting inductive strengths everywhere.

One might be tempted by an obvious rejoinder: if continuing refinement causes continuing problems, stop refining! Declare one specific refinement as preferred; or declare that its propositions comprise a preferred language. That resolves the problem. But the decision of when to stop or which is the preferred language must be made on external, inductive grounds. It privileges certain propositions and thus amounts to the introduction of external inductive content, in violation of the requirement of completeness.

The concluding Sections 15-18 of this chapter take stock and review possible responses.

## 2. The Appeal of a Calculus of Inductive Inference

Those who have read through the earlier chapters in this book should be in no doubt of one thing: rule-based accounts of inductive inference are not in good shape. Simple enumerative induction fails more than it succeeds. It is *almost never* the case that, when some As are B, it also happens that all As are B. The replicability of experiment is the gold standard of science, we are told, never to be discounted, except when we do discount it. If we seek the formal template to which arguments from analogy must conform, we find prescriptions of ever growing complexity that never reach an endpoint. We should infer to the best explanation. Yet it is an instruction that is hard to follow since we are offered no precise characterization of just what is a good explanation or why explaining, whatever it is, has such evidential powers. Finally, to mention an example to which we will return below, evidence favors simpler hypotheses, we are told. But we have no serviceable characterization at the most general level of what makes an hypothesis

---

<sup>3</sup> The proof strategy is an extension of the familiar problems introduced by the principle of indifference probabilistic logic. See Norton (2008) for discussion.

simpler or why such hypotheses should be favored.

These are just the beginnings of the difficulties. Over the centuries, inductive inference has attracted a fulsome collection of general problems that threaten the very cogency of this form of inference. We have Hume's problem, Hempel's raven, Goodman's grue and Quine's underdetermination. The difficulties are so enduring that mere mention of induction calls philosophical pain to mind.

The tenacity of these problems stands in striking contrast with deductive inference. While there are always complications at the fringes, the core is stable to the point of tedium. Modus ponens is a valid argument. Affirming the consequent is a fallacy. These facts of logic leave no room for doubt or debate. We separate the valid from the invalid deductive inferences merely by checking whether the argument form used is one of the approved argument forms in a logic textbook. The exercise is reminiscent of making travel plans by checking a train timetable.

In this regard, deductive logic is more like arithmetic than inductive inference. It is an uncontested, particular fact of arithmetic that 7,919 is the thousandth prime number; and it is merely a matter of tedious computation using standard algorithms to check it. More general facts have a similar security. That there are infinitely many prime numbers is proved by a theorem known since the time of Euclid. Anyone who doubts the infinity of the primes can consult the proof and, by working through its steps, receive all the assurance a reasonable person could require.

Might the problems of inductive inference be resolvable in a similar way? Might the puzzles of induction be converted into queries that can be put to and answered by mechanical computation in some suitable calculus? The presently most popular approach to inductive inference, the Bayesian approach, holds out the promise of such a solution. The approach is based on the supposition that inductive support or warranted belief is captured by the mathematical calculus of probabilities. Much of Bayesian analysis is the tedious working of proofs in the calculus. The strength of inductive support provided by some item of evidence for some hypothesis is computed numerically as a conditional probability. General facts about inductive inference are established as theorems of the probability calculus, much as Euclid proved the infinity of the primes. In each case, we have the comforting assurance that, one way or another, a computation will provide precise answers to our questions.

### 3. A Bayesian Analysis of Simplicity

Here is an illustration of such a computation. A familiar principle is that evidence favors a simpler hypothesis. For example, as we saw in earlier chapters, when we fit a curve to data, we may find a good enough fit from the hypothesis of a straight line and a slightly better fit from a parabola. We are routinely willing to forgo a slightly better fit by a parabola for the lesser fit of straight line, because we prefer to use the simpler hypothesis.

This preference for the simpler can be vindicated in Bayesian analysis. The key to it is that there are fewer of the simpler hypotheses. A straight line—“ $y = ax + b$ ”—is fixed by just two adjustable parameters,  $a$  and  $b$ . A parabola—“ $y = ax^2 + bx + c$ ”—is fixed by three parameters,  $a$ ,  $b$  and  $c$ . Hence there are many more of the more complicated hypotheses. The straight line hypotheses form a two dimensional space. The parabolic hypotheses form a three dimensional space.

A still simpler example uses this fact and will suffice to get to the key point. Imagine that we have to choose between a simple hypothesis and a more complicated one. Let us say that the simple hypotheses is drawn from a ten-membered set  $\{H_{sim1}, H_{sim2}, \dots, H_{sim10}\}$  of hypotheses of comparable simplicity. The complicated hypothesis is drawn from a much larger, one-hundred-membered set  $\{H_{com1}, H_{com2}, \dots, H_{com100}\}$  of hypotheses of comparable complication. We shall assign equal prior probability to each set:

$$P(\{H_{sim1}, H_{sim2}, \dots, H_{sim10}\}) = P(\{H_{com1}, H_{com2}, \dots, H_{com100}\}) \quad (1)$$

where conditionalization on a background  $\Omega$  is supposed but not represented. We then spread the probability uniformly within each set. Since the second set has ten times as many members as the first, the prior probability of any of individual simple hypothesis  $H_{sim i}$  is ten times as great as the prior probability of any of the complicated hypotheses  $H_{com k}$ .

$$\frac{P(H_{sim i})}{P(H_{com k})} = 10 \quad (2)$$

Let us say that the two hypotheses  $H_{sim i}$  and  $H_{com k}$  fit roughly equally well with the evidence. That is, the supposition of each makes the evidence  $E$  roughly equally probable:

$$P(E|H_{sim i}) \approx P(E|H_{com k})$$

so that the ratio of likelihoods  $P(E|H_{sim i}) / P(E|H_{com k}) \approx 1$ . The relative strength of support from the evidence and background together for the hypotheses is expressed by the ratio of

posterior probabilities  $P(H_{sim\ i}|E) / P(H_{com\ k}|E)$ . It can be calculated with the ratio form of Bayes' theorem:

$$\frac{P(H_{sim\ i}|E)}{P(H_{com\ k}|E)} = \frac{P(E|H_{sim\ i})}{P(E|H_{com\ k})} \cdot \frac{P(H_{sim\ i})}{P(H_{com\ k})}$$

Since the likelihood ratio is approximately one, the ratio of the priors (2) is the deciding factor that gives a large boost to the probability of the simpler hypotheses:

$$\frac{P(H_{sim\ i}|E)}{P(H_{com\ k}|E)} \approx \frac{P(H_{sim\ i})}{P(H_{com\ k})} = 10 \quad (3)$$

In brief, since there are fewer simpler hypotheses, a natural spreading of prior probabilities (1) can assign higher prior probability to the simpler hypotheses. When the evidence is equivocal in choosing among the hypothesis, this higher prior probability gives the simpler hypothesis the decisive advantage.

While this captures the essentials of the Bayesian analysis, more realistic cases are messier. There are almost always infinitely many hypotheses grouped into one complexity class and then, in addition, infinitely many such classes. Simply counting hypotheses no longer works. More sophisticated analyses are needed, while the essentials remain the same. Jeffreys (1961, p. 47) measures the complexity of classes of curves by the sum of the order, the degree and the absolute values of the coefficients of a suitably reduced differential equation that governs the curves. Solomonoff (1964) measures complexity as algorithmic complexity; that is, the measure is the size of the smallest universal Turing machine program needed to generate the hypothesis. They both then exponentially penalize the prior probability of each complexity class so that the probabilities can sum to unity.

#### 4. External Inductive Content

In many examples like this, Bayesian analysis has been able to reduce an inductive puzzle to a computation in the probability calculus. In each case, however, it turns out that the analysis is not self-contained. Each requires supplement by external inductive content. That is, the computation depends on direct or indirect specification of inductive strengths of support by considerations external to the computation.

Take the case of the analysis of simplicity above. We assigned equal probability to the two complexity classes in (1) and then spread the assigned probability uniformly within each class.

The outcome was that each of the simpler hypotheses was assigned a greater prior probability; and this was key to the whole analysis. Yet nothing within the probabilistic computation forced this assignment. We could merely have assigned the same prior probability to each hypothesis individually

$$\begin{aligned} P(H_{\text{sim}1}) &= P(H_{\text{sim}2}) = \dots = P(H_{\text{sim}10}) \\ &= P(H_{\text{com}1}) = P(H_{\text{com}2}) = \dots = P(H_{\text{com}100}) \end{aligned} \quad (1')$$

This alternative assignment would have defeated the analysis. For then, instead of (2), we would have had:

$$\frac{P(H_{\text{sim } i})}{P(H_{\text{com } k})} = 1 \quad (2')$$

and the simpler hypothesis would have received no probabilistic boost:

$$\frac{P(H_{\text{sim } i} | E)}{P(H_{\text{com } k} | E)} \approx \frac{P(H_{\text{sim } i})}{P(H_{\text{com } k})} = 1 \quad (3')$$

The point is not that the assignment of (1) is unjustifiable. One could certainly conceive circumstances in which we would be warranted in assigning a higher prior probability to a simpler hypothesis. And we could conceive others in which this might not be so.

The point is that the assignment of (1) is provided externally to the probabilistic computation that takes us from (1) to the main result (3). This means that the recovery of the result (3) by the computation is not inductively self-contained. Essential inductive content is provided from an external source. To preclude confusion, by “inductive content” I mean merely the assignments of probability in (1) or (1’).

## 5. The Ideal of Completeness

A natural response to the presence of the external inductive content in the Bayesian analysis of simplicity is that we have set our boundaries too narrowly. That the simpler hypotheses ought to be assigned a higher prior probability is something that can in turn be learned inductively. In Jeffreys’ analysis of simplicity, we are to assume that nature favors curves drawn from the simpler of his complexity classes. In Solomonoff’s analysis, we are to assume that nature favors hypotheses that are algorithmically simpler. Neither of these are a priori truths. They are contingent facts about the world. Ascertaining their truth is a matter of further inductive investigation. If we extend the boundaries of our computation, we would hope

to capture those considerations as well.

What if those considerations in turn depend upon further external inductive content? We would then extend our boundaries still further. Let us suppose that it is possible to extend the boundary of the computational domain so far that no external inductive content is needed. What would result is an account of all the relations of inductive support within the domain that is fully contained in a single, enormous computation in the probability calculus.

While such an enormous computation would surely outstrip any human powers of comprehension, its possibility in principle is of profound foundational importance. It would mean that the probability calculus is all we need for a full understanding of inductive inference within a suitably large domain.

All particular facts of inductive support within that domain would be expressible by particular probabilistic relations among its propositions. That the straight-line hypothesis is better supported by the evidence would be expressed by its greater probability; and so on for every other particular fact of inductive support.

The same would be true for general facts about inductive inference. Every general fact could, in principle, be captured by some general theorem within this huge computation. If, for example, simpler hypotheses are favored evidentially in this domain, that general fact would be captured by a theorem. It would assert that the prior probabilities of hypotheses in simpler classes must, in general, be higher, as in (1). All this, at both the level of the particular and the general, could be known without drawing upon any inductive content from outside the domain. The analysis would be self-contained.

## **6. Its Failure**

What is shown in Norton (forthcoming) and will be reviewed below is that this ideal of completeness is unattainable. A very large class of possible calculi that likely includes any calculus one might realistically consider, proves unable to support this ideal of completeness. This failure is profound foundationally. It tells us something important about the nature of inductive inference itself: it cannot be fully characterized merely by a calculus.

To get a sense of this import, it is helpful to compare it with the familiar incompleteness of arithmetic. It was once quite reasonable to expect that all the truths of arithmetic could be

captured by a few axioms. For example, Peano's axioms lay down a few simple properties of natural numbers: 1 is a number; every number has a unique successor; and so on. We would hope that we could identify all of arithmetic with all the truths that can be deduced from these axioms.

Famously, Gödel demonstrated that no finite axiom system can capture all arithmetic truths in this way. The truths of arithmetic are something more than what can be deduced from any fixed, finite system of axioms. We may, of course, be able to derive very many important and interesting arithmetic truths from our favorite axiom system. However, no matter which finite axiom system we favor, there will always be arithmetic truths that are external to its theorems.

I hesitate to draw a comparison with Gödel's result, for his result is profound and his methods extraordinarily ingenious. The corresponding methods for inductive calculi are simple and mechanical and the result rather banal. But the significance of the result for inductive logic is comparable.

We may have a favored calculus for inductive inference and be able to infer many important and useful results within it. We might then seek to characterize inductive inference merely as inference that conforms with some specific calculus, such as the probability calculus. The incompleteness tells us that characterization fails. There is always more to inductive support than can be captured by the calculus. Searching for theorems within a favored calculus can only ever return a partial understanding. Inductive inference cannot be reduced to inference that conforms with some favored calculus.

## **7. Deductive Preliminaries**

### ***7.1 Deductive Structure***

How is the incompleteness demonstrated? The first step is to fix the environment in which the inductive logic is applied. We take a fixed set of propositions

$$\{A_1, A_2, \dots, A_m\}.$$

and our concern will be to determine the inductive relations prevailing among these propositions. This set is intended to be not just large, but very large. It might be all the hypotheses entertained in science, all the evidence statements that may support them and every other proposition that in some way mediates between them. That set—all the propositions we have entertained in

science—will be large. But it will still be finite. For there have only been finitely many scientists and, given some finite limit of the length of sentences, only finitely many propositions expressible.

These propositions come with a deductive structure. That structure is just the set of all deductive entailment relations among the  $m$  propositions. It may turn out, for example, that  $A_{1235}$  deductively entails  $A_{441}$ ; or that  $A_{57}$  and  $A_{103}$  are logically incompatible, so that their conjunction entails the contradiction  $\emptyset$ . The deductive structure is the totality of these deductive relations.

It will be essential for what follows to see that this structure is highly symmetric. That symmetry is harder to see if we consider merely the propositions  $A_1, A_2, \dots, A_m$  by themselves. Rather we take the larger set of propositions generated by Boolean operations; that is, by taking all negations (“not”  $\sim$ ), disjunctions (“or”  $\vee$ ) and conjunctions (“and”  $\&$ ) of the propositions. The set of sentences that results is infinite. However the set of logically distinct propositions is not. The set contains many logically equivalent sentences. The sentence  $A_1$ , for example, is logically equivalent to all of  $\sim\sim A_1, \sim\sim\sim A_1, A_1 \vee A_1, A_1 \& (A_2 \vee \sim A_2)$ , etc.

## 7.2 A Boolean Algebra of Propositions

The deductive structure, with all these duplications eliminated, is best characterized by identifying its “atoms.” These are the logically strongest (non-contradictory) propositions. A finite set of propositions can support only finitely many atoms. Take the simple case of two propositions in the set  $\{A, B\}$ , where we assume they are logically compatible and do not exhaust the space. Then there are four distinct atoms:

$$a_1 = A \& B \quad a_2 = A \& \sim B \quad a_3 = \sim A \& B \quad a_4 = \sim A \& \sim B$$

Each of the propositions  $a_1, a_2, a_3$  and  $a_4$  is an atom since nothing (other than the contradiction  $\emptyset$ ) entails it.

These four atoms generate a four-atom Boolean algebra of finitely many propositions, which has five distinct logical levels

the universal proposition:  $\Omega_4 = a_1 \vee a_2 \vee a_3 \vee a_4$

three-atom disjunctions:  $a_1 \vee a_2 \vee a_3, a_1 \vee a_2 \vee a_4, a_1 \vee a_3 \vee a_4, a_2 \vee a_3 \vee a_4$

two-atom disjunctions:  $a_1 \vee a_2, a_1 \vee a_3, a_1 \vee a_4, a_2 \vee a_3, a_2 \vee a_4, a_3 \vee a_4$

atoms:  $a_1, a_2, a_3, a_4$

the contradiction:  $\emptyset$

The original propositions  $A$  and  $B$  reside within this Boolean algebra as  $A = a_1 \vee a_2$  and  $B = a_1 \vee a_3$ . Figure 1 is a picture of the algebra, showing the distinct levels. The arrows represent deductive entailment.

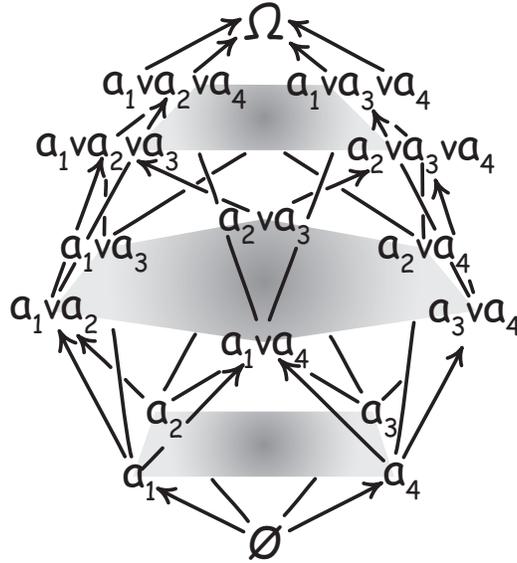


Figure 1. A Four-Atom Boolean Algebra

### 7.3 Symmetries of Deductive Structure

A Boolean algebra is a highly symmetric structure. Informally speaking, each level is homogeneous. That is, the entire algebra “looks the same” from any proposition we pick in the level. For example, take the two-atom disjunction level of the four-atom algebra. Each disjunction in it is entailed by two atoms; and each disjunction in the two-atom layer in turn entails just two three-atom disjunctions. The only change, as we move around within one of the levels is the labeling of the atoms that appear in the deductive entailments.

When there are very many atoms in the algebra, the basic structure remains the same. There are now, however, many more levels: the one-atom level, the two-atom level, the three-atom level, and so on for very many more levels. As before, each level in the algebra is homogenous. That is, the algebra looks the same, as far as deductive relations are concerned, from each proposition in the same level.

More formally, this symmetry is expressed as a labeling invariance. That is, the total deductive structure is unchanged if we permute the labels attached to the atoms. Take the four atoms

$$a_1, a_2, a_3, a_4$$

and permute their labels any way you please. You might just switch the first two, so that the atoms are now labeled

$$a_2, a_1, a_3, a_4$$

Or you might cyclically permute them to

$$a_2, a_3, a_4, a_1$$

In both cases, propagate the labeling change through the remainder of the algebra. For these permutations and for any others, the total deductive structure will remain unchanged. If  $a_1$  entails  $a_1 \vee a_2$  entails  $a_1 \vee a_2 \vee a_3$  prior to the permutations of atomic labels, the same will be true for the relabeled propositions.

The symmetry is easier to see geometrically in a simpler figure that shows just a three-atom algebra. Figure 2 shows the same three-atom algebra, differing only in the arbitrary labeling for the atoms. Labels  $a_1$  and  $a_2$  are switched on one side; and atom labels  $a_1, a_2$  and  $a_3$  are cyclically permuted on the other:

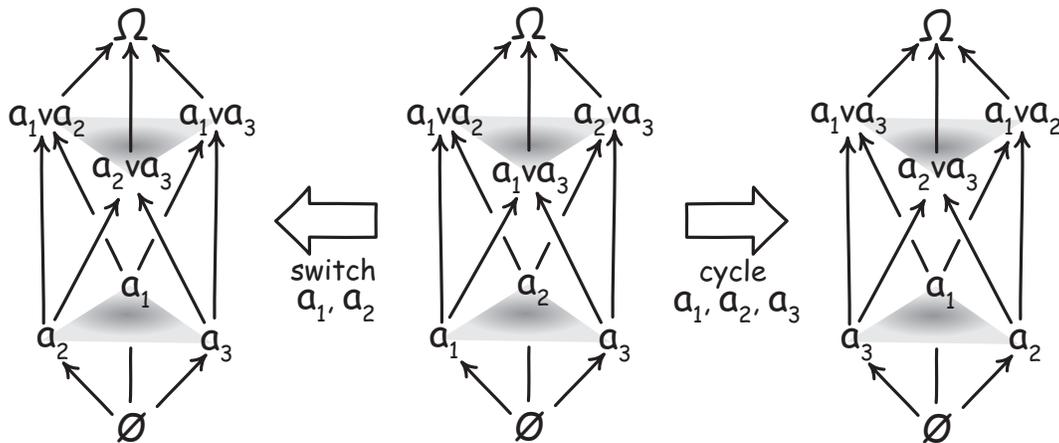


Figure 2. Relabelings of a Three-Atom Algebra

## 8. Deductively Definable Logics of Induction

### 8.1 Rules Define Strengths of Inductive Support

A calculus of inductive inference will here be built around the fundamental quantity “[ $A|B$ ]”, which is the strength of the inductive support afforded proposition  $A$  by proposition  $B$ . The strength might be a conditional probability, which means that it conforms with the probability calculus. The strength need not be a probability. It may be a strength that conforms with one of many other calculi.

Other choices are possible for the basic quantity. We could instead use “[ $A|B,C$ ]”, which could be interpreted as the strength of inductive support afforded proposition  $A$  by  $B$  with respect to background  $C$ . It will become clear that the arguments leading to incompleteness can be mounted in variant form for each of these choices. We will proceed with just [ $A|B$ ] since it is all that is needed to see how the arguments run.

A calculus of inductive inference is a system of rules that enables the assignment by purely mechanical computation of all the strengths [ $A_i|A_k$ ] for propositions in the set  $\{A_1, A_2, \dots, A_m\}$ . The key question is which resources these rules may use. If the domain in which the set resides is sufficiently large for completeness to obtain, then the rules may not use any inductive content from outside the domain. That is, it may not set any of the [ $A_i|A_k$ ] by external considerations independent of the rules of the calculus.

This restriction then leaves as the sole resource the deductive relations among the propositions in the set  $\{A_1, A_2, \dots, A_m\}$  and their deductive relations with the other propositions in the larger algebra  $\Omega$  in which it resides. A calculus that employs just this deductive structure in specifying its strength is “deductively definable.”

### 8.2 Two Sample Logics

At first it may seem that deductive definability is excessively restrictive. It is not. Rather it is the standard way of specifying a calculus of this type. As a general matter, the definitions of the strengths [ $A_i|A_k$ ] may be supplied by explicit or implicit definitions.

The latter implicit definitions are more commonly used. The celebrated Kolmogorov axioms (1950) for the probability axioms provide implicit definitions solely in terms of the

deductive structures among the propositions in the outcome space. These axioms, used to define an additive measure  $m$  on the algebra, assert:

$$\text{For any } A, m(A) \geq 0. \quad (4a)$$

$$m(\Omega) = 1 \quad (4b)$$

$$\text{If } A \& B = \emptyset, \text{ then } m(A \vee B) = m(A) + m(B) \quad (4c)$$

This is an implicit definition of the additive measure  $m$ . It consists of three sentences in which the measure appears; and those sentences otherwise only mention the deductive structure of the algebra. For example, (4b) assigns unity to the universal proposition  $\Omega$ , distinguished by the fact that it is deductively entailed by all the propositions in the algebra. The summation rule relates the measure of a disjunction to the measures of the disjuncts, in the special case in which the disjuncts are deductively incompatible.

The Kolmogorov axioms constrain the measure  $m$ , but do not define it uniquely. In any given algebra, there will be infinitely many measures compatible with the axioms. We can assure uniqueness of  $m$  in some algebra by adding further conditions, such as:

$$\text{For all atoms, } a_1, a_2, \dots, a_n, \quad (5)$$

$$m(a_1) = m(a_2) = \dots = m(a_n)$$

Once again, this sentence mentions only deductive structure. The atoms  $a_1, a_2, \dots, a_n$  are the propositions in the algebra that are deductively entailed by no other propositions (other than the contradiction,  $\emptyset$ ).

This uniquely defined additive measure can now be used to introduce the familiar inductive strength of support, a conditional probability. For all propositions  $A$  and  $B$ , where  $m(B)$  is not 0

$$[A | B]_p = P(A | B) = \frac{m(A \& B)}{m(B)} \quad (6)$$

In order to underscore that these results apply to many calculi, we can also define a different calculus—a “specific conditioning” logic—by replacing (6) by the following.<sup>4</sup> For all propositions  $A$  and  $B$ , where neither  $m(A)$  nor  $m(B)$  is 0

$$[A | B]_{sc} = P(A | B) = \frac{m(A \& B)^2}{m(A)m(B)} \quad (7)$$

We will see shortly in an example what motivates this logic.

---

<sup>4</sup> For more details of the properties of a special conditioning logic, see Norton (2010, §11.2).

### 8.3 General Form of the Definitions

The conditions (4), (5) and (6) implicitly define a probabilistic calculus of inductive inference. The conditions (4), (5) and (7) implicitly define a distinct “specific conditioning” calculus of inductive inference. What will matter in what follows is the general form of the definitions:

*General form of the implicit definition:*

A set of sentences that mention the strengths  $[A_i|A_k]$  and deductive relations among the members of the set  $\{A_1, A_2, \dots, A_m\}$  and the other propositions in the algebra.

These two examples are just two of many possible deductively definable logics of induction. More are described in Norton (2010).

A simple and natural one derives from the basic notion of hypothetico-deductive confirmation. According to it, if hypothesis  $H$  deductively entails evidence  $E$ , then evidence  $E$  inductively supports  $H$ . This much provides for a single value “*supports*” for  $[H|E]$  via the explicit definition:

If  $H$  deductively entails  $E$ , then  $[H|E] = \text{supports}$ .

There is much scope to enhance the definition. We might replace the single value with increasing numerical values the closer that  $H$  is to  $E$  in terms of the levels of the Boolean algebra. If, for example,  $H = a_1 \vee a_2$  from the level of two atom disjunctions and  $E = a_1 \vee a_2 \vee a_3 \vee a_4$  from the level of four atom disjunctions, then the strength of support might be defined as  $2/4$ . Then the closer they are in levels, the stronger the support. This gives the augmented definition<sup>5</sup>

If  $H$  from the level of  $m$  atom disjunctions  
deductively entails  $E$  from the level of  $n$  atom disjunctions,  
then  $[H|E] = m/n$ .

This second example illustrates the general form of an explicit definition of inductive strengths:

*General form of the explicit definition:*

The strengths  $[A_i|A_k]$  are determined by a formula that mentions only the deductive relations among the members of the set  $\{A_1, A_2, \dots, A_m\}$  and

---

<sup>5</sup> This definition induces a product rule. If  $A$  entails  $B$  entails  $C$ , then  $[A|C] = [A|B] \times [B|C]$ .

the other propositions in the algebra.

In the example, the formula is “ $m/n$ ”, where the quantities  $n$  and  $m$  are related to atom counts and are thus recoverable from the deductive structure of the Boolean algebra.

This hypothetico-deductive model could be enhanced still further by rewarding hypotheses with stronger support if they are more explanatory or simpler. To do this requires that we have some way of identifying which hypotheses are more explanatory or which are simpler. If that can be done by adding further propositions to the algebra, then the definition of the inductive strengths can still meet the requirement that they draw only on resources within the domain. If that cannot be done and these judgments require resources outside the domain, then we have already established that these particular augmentations of the hypothetico-deductive scheme are not complete.

## 9. The Quest for an Art Thief

As an illustration of the application of these logics, we will imagine an inductive problem presented to the police in their efforts to track down the location of a notorious art thief. They know, we shall say, that the art thief is in one of four cities: Boston “BOS”, New York “NY”, Philadelphia “PHL” or Pittsburgh “PIT.” That is we have

$$\Omega = \text{BOS} \vee \text{NY} \vee \text{PHL} \vee \text{PIT}$$

These four propositions are the atoms of the algebra. Their evidence is that the thief is in an east coast, Atlantic port city “EC”:

$$\text{EC} = \text{BOS} \vee \text{NY} \vee \text{PHL}$$

We can then ask how much support EC provides to the various possibilities. We have from the Kolmogorov axioms (4) and condition (5) that

$$m(\text{BOS}) = m(\text{NY}) = m(\text{PHL}) = m(\text{PIT}) = 1/4$$

It follows from the definition (6) that the evidence EC gives the same support to the hypothesis BOS as it does to the disjunction BOS  $\vee$  PIT

$$P(\text{BOS} \mid \text{EC}) = P(\text{BOS} \vee \text{PIT} \mid \text{EC}) = 1/3$$

This is a familiar property of conditional probability. Since the proposition PIT contradicts the evidence EC, forming a disjunction with BOS does not alter the conditional probability.

While it is familiar, this is an oddity of probabilistic support. Unless we have honed our

sense of evidential support on probabilistic notions, we would judge the support provided by EC for BOS to be weakened when we form a disjunction with a city PIT that contradicts the evidence. The evidence specifically supports BOS, not PIT. Within the probabilistic analysis, we can recover the fact that the PIT disjunct plays no role in the support accrued to BOS v PIT by noting that the probability is unchanged when we eliminate the PIT disjunct. The awkwardness is that we have to do this additional computation to learn that the evidence points better to BOS rather than BOS v PIT.

The specific conditioning logic (7) is designed to remedy this defect. It does the work of discriminating between BOS and BOS v PIT by assigning a lower strength of support to BOS v PIT. That is, we have

$$[BOS | EC]_{SC} = \frac{m(BOS \& EC)^2}{m(BOS)m(EC)} = \frac{1^2}{1 \cdot 3} = \frac{1}{3}$$

whereas

$$[BOS \vee PIT | EC]_{SC} = \frac{m((BOS \vee PIT) \& EC)^2}{m(BOS \vee PIT)m(EC)} = \frac{1^2}{2 \cdot 3} = \frac{1}{6}$$

so that  $1/6 = [(BOS \vee PIT) | EC]_{SC} < [BOS | EC]_{SC} = 1/3$ . Perhaps in this case, the advantage of the specific conditioning logic is unclear. But that is only because we can “see through” the example and recognize the odd, disjunctive character of the hypothesis BOS v PIT. In more complicated cases, this might not be possible and we would benefit from the specific conditioning logic doing the work of recognizing the oddity for us.

## 10. Symmetry Constraints on Deductively Definable Inductive Logics

Two properties of the systems developed here combine to place powerful constraints on the inductive logics.

First, the inductive logic is deductively definable. It follows directly from the above general implicit and explicit definitions that, if two sets of propositions agree in their deductive relations, then they must agree in their inductive relations. That is, assume that a set of proposition are  $A, B, C, \dots$  and can be mapped to the second set  $A', B', C', \dots$  in a way that preserves deductive structure. It follows that the inductive strengths formed from  $A, B, C, \dots$  must agree with the corresponding strengths formed from  $A', B', C', \dots$

Second, the deductive structure is highly symmetric. This means that the deductive

structure preserving map can be implemented within a single algebra of propositions merely by relabeling the propositions. It then follows that many of the inductive strengths formed within the single algebra must be equal.

### **10.1 An Illustration**

We can see how these equalities arise in the example of the art thief. Consider the support afforded by EC for each of BOS and NY. That is, compare [BOS|EC] and [NY|EC]. We shall see that they must be equal.

To see this, we relabel BOS and NY as:

$$\text{BOS}' = \text{NY} \text{ and } \text{NY}' = \text{BOS}$$

The two remaining atom labels are unchanged other than for the addition of a prime:

$$\text{PHL}' = \text{PHL} \text{ and } \text{PIT}' = \text{PIT}.$$

One sees immediately that the deductive structure of the propositions with the primed labels is the same as the deductive structure of the propositions with the unprimed labels. That is, for every deductive entailment in the first there is a corresponding deductive entailment in the second; and vice versa. For example, BOS deductively entails  $\text{EC} = \text{BOS} \vee \text{NY} \vee \text{PHL}$ .

Correspondingly BOS' deductively entails  $\text{EC}' = \text{BOS}' \vee \text{NY}' \vee \text{PHL}'$ .

Since the inductive logic is deductively definable, it now follows that all corresponding inductive strengths must agree. That is we have:

$$[\text{BOS}|\text{EC}] = [\text{BOS}'|\text{EC}']$$

$$[\text{NY}|\text{EC}] = [\text{NY}'|\text{EC}']$$

$$[\text{PHL}|\text{EC}] = [\text{PHL}'|\text{EC}']$$

$$[\text{PIT}|\text{EC}] = [\text{PIT}'|\text{EC}']$$

etc,

The primed propositions are merely relabelings of the unprimed propositions. In particular, BOS' = NY and EC' = EC. Making the replacements in the first equality [BOS|EC] = [BOS'|EC'] gives the result promised

$$[\text{BOS}|\text{EC}] = [\text{NY}|\text{EC}].$$

We can see informally how this equality comes about. It arises because the BOS-EC relationship is, roughly speaking,

“single atomic proposition deductively entails three-atom disjunction.”

The NY-EC relationship is the same. Since the deductive structures involved are the same, the correspondingly inductive strengths must be the same.

## 10.2 The Symmetry Theorem

The symmetry constraint can be generalized. Take a slightly more general case of a deductively definable logic in which the inductive strengths  $[A|B]$  are fixed by the deductive relations among  $A$  and  $B$  and the remaining propositions of the algebra. When might we have an equality of two strengths  $[A|B]$  and  $[C|D]$ ? It arises when there is some relabeling possible for the atoms in the algebra, so that  $A$  and  $B$  are relabeled as  $A'$  and  $B'$  and

$$A' \& B' = C \& D$$

$$A' \& \sim B' = C \& \sim D$$

$$\sim A' \& B' = \sim C \& D$$

$$\sim A' \& \sim B' = \sim C \& \sim D$$

This relabeling will be possible just in case the conjunctions to be set equal are formed from the same number of atoms. That is, the same number of atoms disjoined to form  $A \& B$  and to form  $C \& D$ ; as so on for the remaining equalities, so that

$$\#A \& B = \#C \& D$$

$$\#A \& \sim B = \#C \& \sim D$$

$$\#\sim A \& B = \#\sim C \& D$$

$$\#\sim A \& \sim B = \#\sim C \& \sim D$$

where the notation “#proposition” indicates the number of atoms disjoined to form the proposition.

Then, by reasoning analogous to that of the last section, we can show that the deductive relations into which  $A$  and  $B$  enter are the same as those into which  $C$  and  $D$  enter. It now follows that the inductive strength  $[A|B]$  is fixed by the atom counts of these four conjunctions. That is:

### *Symmetry Theorem*

For each deductively definable logic in which the inductive strengths  $[A|B]$  are fixed by the deductive relations among  $A$  and  $B$  and the remaining propositions of the algebra, there exists a function  $f$  such that

$$[A|B] = f(\#A \& B, \#A \& \sim B, \#\sim A \& B, \#\sim A \& \sim B)$$

We can illustrate this theorem in the case of the two logics considered above. For the

probabilistic logic we have

$$[A|B]_p = P(A|B) = \frac{\# A \& B}{\# A \& B + \# \sim A \& B} = \frac{\# A \& B}{\# B}$$

For the specific conditioning logic, we have

$$[A|B]_{sc} = \frac{(\# A \& B)^2}{(\# A \& B + \# A \& \sim B) \cdot (\# A \& B + \# \sim A \& B)} = \frac{(\# A \& B)^2}{\# A \cdot \# B}$$

In general, the specification of a new inductive logic merely requires the specification of a new function  $f$  in the theorem.

This formulation of the symmetry theorem is not the most general formulation. In general, the strengths  $[A_i|A_k]$  are fixed by deductive relations among the large set  $\{A_1, A_2, \dots, A_m\}$  and their deductive relations with the other propositions in the larger algebra  $\Omega$  in which it resides. The obvious generalization of the theorem is given in Norton (forthcoming, §4.2)

### 10.3 How Might Deductive Definability Fail?

The requirement of deductive definability is fragile and easily broken. Since that might not be immediately apparent, here is an example of a failure. Consider the deductively definable logic of induction specified by (4) and (5) above. Replace (5) by

$$\begin{aligned} \text{For all atoms, } a_1, a_2, \dots, a_n, & \quad (5') \\ m(a_1) = m(a_2)/2 = \dots = m(a_n)/n & \end{aligned}$$

That is equivalent to setting the normalized measures of the atoms to

$$m(a_1) = 2/(n+n^2), \quad m(a_2) = 2 \cdot 2/(n+n^2), \quad m(a_3) = 2 \cdot 3/(n+n^2), \quad \dots, \quad m(a_n) = 2 \cdot n/(n+n^2)$$

The corresponding conditional probabilities are

$$\begin{aligned} P(a_1|\Omega) = 2/(n+n^2), \quad P(a_2|\Omega) = 2 \cdot 2/(n+n^2), \\ P(a_3|\Omega) = 2 \cdot 3/(n+n^2), \quad \dots, \quad P(a_n|\Omega) = 2 \cdot n/(n+n^2) \end{aligned} \quad (5'')$$

The key fact about these assignments is that they are non-uniform. That uniformity is unsustainable in a deductively definable logic of induction. Each of the atoms  $a_1, a_2, \dots, a_n$  enters into exactly the same deductive relations with the other propositions in the algebra. Hence deductive definability requires the equality of all these conditional probabilities

$$P(a_1|\Omega) = P(a_2|\Omega) = P(a_3|\Omega) = \dots = P(a_n|\Omega).$$

For the condition (5') to be upheld, we must have some way of distinguishing among the atoms.

Atom  $a_1$  will be assigned the smallest measure  $m$ ; atom  $a_2$  will be assigned the next largest measure  $m$ ; and so on.

Distinguishing among them cannot be done in terms of the deductive structure. It must be done by means external to the algebra. These means amount to external inductive content and lead to specification of the non-uniform probabilities (5'').

Finally, since the logic is no longer deductively definable, it is no longer possible to define the conditional probabilities of (5'') purely as a function of atoms counts, so the symmetry theorem does not apply to this logic.

## 11. The Need for Disjunctive Refinements

The example of the art thief shows how a simple deductively definable logic of induction can be inadequate for its intended purpose. We would like to know whether the evidence EC better supports that the art thief is in New York (NY), say, rather than in Boston, (BOS). However the logic requires  $[BOS|EC] = [NY|EC]$ . So differential support is not possible.

This problem will persist as long as the propositions form a small Boolean algebra based on just four atoms BOS, NY, PHL and PIT. The remedy is to increase the expressive power of the algebra by increasing the number of atoms. For example, we may judge that there are a large number of possible lairs in Boston in which our thief may hide. If we write  $BOS_i$  as the proposition that the thief is hiding in the  $i$ th of  $r$  possible lairs, then we create a disjunctive refinement of original algebra by replacing the atom BOS by the disjunction of new atoms

$$BOS = BOS_1 \vee \dots \vee BOS_r$$

Correspondingly we can expand the remaining atoms as

$$NY = NY_1 \vee \dots \vee NY_s$$

$$PHL = PHL_1 \vee \dots \vee PHL_t$$

$$PIT = PIT_1 \vee \dots \vee PIT_u$$

The small four-atom algebra has now been replaced by a larger algebra with  $r+s+t+u$  atoms.

This larger algebra gives us a great deal more expressive power. We can assign widely varying support to propositions like BOS or NY, according to the values selected for  $r$ ,  $s$ ,  $t$  and  $u$ . In the probabilistic logic, we now have

$$P(BOS|EC) = r/(r+s+t) \quad P(NY|EC) = s/(r+s+t)$$

If there are many more likely places to hide in New York than in Boston, we would have  $r < s$  and  $P(\text{BOS}|\text{EC}) < P(\text{NY}|\text{EC})$ . For the specific conditioning logic, we now have

$$[\text{BOS}|\text{EC}]_{\text{SC}} = r/(r+s+t)$$

$$[(\text{BOS} \vee \text{PIT})|\text{EC}]_{\text{SC}} = r^2/[(r+t)(r+s+t)] = r/(r+t) [\text{BOS}|\text{EC}]_{\text{SC}}$$

Then  $[(\text{BOS} \vee \text{PIT})|\text{EC}]_{\text{SC}}$  would be reduced in relation to  $[\text{BOS}|\text{EC}]_{\text{SC}}$  according to how large  $t$  is in relation to  $r$ .

## 12. Asymptotic Stability

This last example illustrates a general property of deductively definable logics of induction. By disjunctively refining the atoms, we introduce new possibilities that alter the inductive strengths. Part of that content comes in the inductive relations among the new atoms and the original propositions. The part that will concern us here, however, involves just the relations among the old propositions.

Here is an example. We fix just three for examination: BOS, NY and EC and ask after the support BOS accrues from evidence EC and the support NY accrues from EC. As we refine and add more atoms, the relative strengths of support  $[\text{BOS}|\text{EC}]$  and  $[\text{NY}|\text{EC}]$  will change. Initially, these changes reflect the incorporation of new information into the algebra of propositions. There may be, for example, many more lairs in New York in which the art thief can hide.

In this process, we are not altering the evidence proposition directly. We are asking the same question repeatedly: what is the support accrued to NY from the evidence EC? What changes is the background deductive and inductive structure in which the propositions NY and EC appears. Those changes should be reflected, to greater or lesser degree, in the strength  $[\text{NY}|\text{EC}]$ .

Eventually, we expect that the new information incorporated will have diminishing import inductively. If  $\text{NY}_1$  happens to be the proposition that the art thief is in a luxurious Fifth Avenue penthouse apartment in New York, then we might refine it further as

$$\text{NY}_1 = \text{NY}_{1-\text{NE}} \vee \text{NY}_{1-\text{NW}} \vee \text{NY}_{1-\text{SE}} \vee \text{NY}_{1-\text{SW}}$$

where  $\text{NY}_{1-\text{NE}}$  is the proposition that the art thief is, at this moment, in the North-East corner of penthouse; and so on for the remaining three quadrants NW, SE and SW. Presumably this refinement would lead at best to a small change in the inductive strength  $[\text{NY}|\text{EC}]$ .

Or perhaps not. Perhaps there is some evidential import in the location of the art thief in the penthouse that the inductive logic can discern. Then we might refine further to incorporate still more inductively relevant information. Through the refinements, we may add new sorts of propositions, perhaps concerning the history of the art thief's behavior, the climate in New York and elsewhere, the public transport system in various cities, and so on.

The requirement of asymptotic stability is that, eventually, continuing refinement will produce diminishing returns, in the sense that the original strengths like [NY|EC] alter less and less. Once we are at this point, strengths involving these propositions stabilize. They may stop changing completely. Or they may approach their limiting values asymptotically. For example, if [NY|EC] has the limiting value  $[NY|EC]_{lim}$ , then, once we are at this point of diminishing returns, the actual value of [NY|EC] will be close to  $[NY|EC]_{lim}$  and the sole change introduced by further refinement is to bring [NY|EC] closer to the limiting value,  $[NY|EC]_{lim}$ .<sup>6</sup>

The idea behind asymptotic stability is just that there is a right choice for the strength of support [NY|EC] once all relevant background information is incorporated into the algebra; and that the inductive logic implemented is able to find it, at least asymptotically.

The alternative is to allow that the strength [NY|EC] never stabilizes. That would mean that, no matter how much additional information we incorporate into the algebra of propositions, the value of [NY|EC] would keep changing without ever settling down. An inductive logic that behaves this way is of no value to us, for it is unable to implement the idea that there is a definite strength of support that EC affords NY in the context of even the fullest specification of background facts.

This discussion so far has dealt with a special case of the art thief. The general case is no different. As indicated above, we concern ourselves with some fixed set of proposition  $\{A_1, A_2, \dots, A_m\}$ , where that set is very large and may include all the propositions considered in science.

---

<sup>6</sup> More precisely, when we require that [NY|EC] approaches the limiting value  $[NY|EC]_{lim}$  asymptotically we just mean this. Pick any measure of closeness to  $[NY|EC]_{lim}$  you like: within 1%, within 0.1%, within 0.001%, etc. Then it is always possible to refine the algebra so that the actual value of [NY|EC] lies within those bounds and so that it remains there under all possible, subsequent refinements.

The requirement of asymptotic stability is that sufficient disjunctive refinement of the atoms leads each of the pairwise strengths  $[A_i | A_k]$  to settle down asymptotically to its limiting value, from which still further refinement cannot remove it. The limiting value is the best representation of the inductive support  $A_k$  affords  $A_i$ .

### 13. The Two Requirements Conflict

Now the trouble starts. We require two things of our logic of induction, each well motivated. First, we require it to be deductively definable, as a consequence of our requirement that the logic be complete. Second, we require asymptotic stability, as a consequence of our requirement that the logic can eventually lead to stable inductive strengths under continued disjunctive refinements.

The two requirements conflict and visit disaster on the logic. That is, if the logic is deductively definable, then it must be so responsive to different disjunctive refinements that it never settles down to limiting inductive strengths. Asymptotic stability proves unsustainable.

The instability is easily recoverable in the example of the art thief. Imagine that the art thief has a confederate within the police headquarters who is intent on confounding the police's efforts. The confederate can confound any inductive logic merely by artful selection of disjunctive refinements.

The ease of this confounding follows directly from the symmetry theorem: inductive strengths are fixed by the atom counts in the propositions. The confederate can then confound the logic merely by refinements that artfully manipulate the atom counts and drive the inductive support in any direction the malicious confederate desires.

For example, take the probabilistic case above. We have

$$P(\text{BOSIEC}) = r/(r+s+t) \quad P(\text{NYIEC}) = s/(r+s+t)$$

We might start with values  $r = s = t = 10$ , as result of the first refinement. Then we would have

$$P(\text{BOSIEC}) = 1/3 \quad P(\text{NYIEC}) = 1/3$$

The confederate might choose to lead the police towards Boston by merely refining BOS much more than NY and PHL. So we might refine further to  $r = 1000$  and  $s = t = 10$ . Then evidential support swings strongly towards BOS since we have

$$P(\text{BOSIEC}) = 1000/1020 = 0.9804 \quad P(\text{NYIEC}) = 10/1020 = 0.0098$$

But had the confederate chosen instead to refine NY, we could get exactly the reversed result, from  $r = t = 10$  and  $s = 1000$ :

$$P(\text{BOSIEC}) = 10/1020 = 0.0098 \quad P(\text{NYIEC}) = 1000/1020 = 0.9804$$

No matter how far advanced the disjunctive refinements may be, this possibility for confounding by further, malicious refinement will always be there. There can be no stabilization of the two probabilities. For, if ever the probabilities seem to stabilize, further malicious refinement can drive them away from what appeared to be their limiting values. The logic has no protection from this malice. Nothing within it can distinguish a refinement that reflects proper inductive import from one that merely deceives.

One might imagine the following escape. The malicious refinements are blocked merely by halting the disjunctive refinements at a stage at which further refinements would only advance the deception. This escape would succeed, but its success would depend upon knowing when is the appropriate stage of refinement at which to halt. That fact is not recoverable within the propositions of the algebra. It must be supplied by external considerations. These external considerations would then be supplying important inductive content in violation of the requirement of completeness of the inductive logic. That is, we escape instability by admitting incompleteness.

The example above is drawn from a probabilistic logic of induction. The same malicious deception can be visited upon *any* non-trivial logic of induction. The symmetry theorem tells us that the strengths in any deductively definable logic of induction are fixed by the atom counts. As long as the logic assigns different inductive strengths when the atom counts change, a malicious confederate will always be able to steer the weight of inductive support in any desired direction.

## 14. Triviality of a Complete Logic of Induction

The escape that preserves completeness is an unhappy one: if the logic of induction fails to adjust its strengths of inductive support when the atom counts change, then it is immune to deception by malicious disjunctive refinements. However a logic that is unresponsive to the atom counts, or merely unresponsive in its limiting behavior, is a trivial logic that assigns the same

limiting inductive strength in all cases, no matter what the atoms counts in the propositions might be.

In short, deductive definability and asymptotic stability forces the inductive logic to be the trivial logic that assigns the same limiting value to all inductive strengths. The discussion here does not provide a proof of this result. It merely recounts an example to illustrate how the result comes about. The full demonstration of Norton (forthcoming), its “no-go” result, requires a great deal more logical accountancy. But those details introduce no further matters of principle. The essential manipulations have already been illustrated in the example above.

There is a technical complication in the full demonstration. To get the simplest version of the no-go result, a third condition of continuity is needed. It merely requires that inductive strengths do not make discontinuous jumps in their dependence on atom counts, when the atom counts are large. Without it, one still has triviality forced on the inductive logics, but the triviality comes in the form of a unique limiting value for each inductive strength, according to the class of deductive structure to which they belong. The notion of class is defined in Norton (forthcoming).

## 15. Escapes

The no-go result is developed in a precise setting: the deductive structure is given by propositional logic with finitely many propositions; and the inductive structure is given by inductive strengths that are represented by the binary quantity  $[A|B]$ . The temptation is to look for ways of escaping the result by altering the setting. The prospects of such an escape are poor.

As far the deductive structure is concerned, the logic employs just the Boolean operators. They reappear in most, more developed deductive logics. All these logics will then admit the disjunctive refinements that power the present analysis. More generally, the decisive property of the deductive structure is that it is highly symmetric. This symmetry can be replicated in richer logics. For example, if we have a simple predicate logic with monadic predicates only,  $P_1(\cdot), \dots, P_n(\cdot)$ , then the logic will be symmetric under permutation of the predicates.

Similarly, a richer inductive structure will also generate corresponding no-go results. For example, we may replace  $[A|B]$  by a tertiary quantity, “[ $A|B,C$ ],” as suggested earlier. It could be interpreted as the strength of inductive support afforded proposition  $A$  by  $B$  with respect to background  $C$ . The discussion above would remain largely unchanged except in the details. If the

inductive logic is deductively definable, the strength of support would still turn out to be a function solely of the atom counts in propositions  $A$ ,  $B$  and  $C$ . As a result it would be subject to confounding by malicious disjunctive refinement, as before, and the logic would be forced to triviality.

More briefly stated, the no-go result developed here is likely to be replicable in almost any setting precisely because there is rather little in it. Deductive structures are, generally, highly symmetric; and asymptotic stability is hard to deny, for otherwise the inductive logic would fail to assign a stable limiting value for the strengths of inductive support. With these properties pervasive, a version of the incompleteness result is always nearby.

## 16. Subjective Bayesianism

Because of the present popularity of subjective Bayesianism, it is worth indicating how it interacts with the no-go result. To begin, the fact that prior probabilities can be assigned arbitrarily, according to our personal whim, does break the symmetry essential to the no-go result. However it breaks it at great cost, for the conditional probabilities cease to be measures of inductive support. They have become, initially, pure statements of opinion and, after conditionalization on evidence, an amalgam of opinion and evidential warrant.<sup>7</sup>

One might hope that the amalgam of opinion and warrant can be separated into its elements by a confirmation measure. It would be defined in terms of the subjective Bayesians' probabilities but would extract just the evidential warrant from the amalgam, stripping out any subjective contributions. What the no-go result asserts, however, is that any such confirmation measure must be trivial, if it is to be complete. For such a measure would conform to the conditions that lead to the no-go result.

---

<sup>7</sup> The celebrated “washing out of the priors” theorems fall short of what is needed. There is a reverse, indelibility result. Loosely speaking, for any fixed likelihoods and any fixed posterior probability we may choose, there will always be some perversely chosen prior probability compatible with it.

## 17. The Recalcitrance of Problems of Induction Explained

This analysis establishes that any non-trivial calculus of inductive inference is incomplete. In retrospect that fact is not so surprising. The literature on calculi of inductive inference has been beset with persistent problems. We can now see that their recalcitrance is explicable as an inevitable outcome of incompleteness.

The traditional failure is the notorious problem of the priors in Bayesian analysis. The hope has been that we can push our inductive investigations back far enough to a neutral starting point, prior to the inclusion of any relevant evidence. There we seek a prior probability distribution that is vacuous in the sense that it inductively favors no particular proposition over any others. Yet no such vacuous prior has been found. All prior probability distributions exert an influence on the subsequent analysis and can only be used responsibly if they reflect the presence of further evidence outside the calculation.

That is just what incompleteness predicts. For a vacuous prior would enable a calculus to be complete. Moreover the incompleteness result predicts that this problem of the priors will reappear in some form in any non-trivial calculus, not just a probabilistic calculus.

Another recurring problem is that the unadulterated probability calculus is not elastic enough to accommodate all inductive inference problems. There have been many extensions proposed. We may suppose, for example, that a simple probability measure is insufficient and it is replaced by a set of measures; or by a structure that uses interval values; and so on. Or we may alter the calculus in fundamental ways, such as the violation of additivity in the Shafer-Dempster calculus. Whatever successes these expansions meet, they are always limited. Further problems arise and call for still more extensions.

If we reconceive these proposals for altered calculi as efforts to find the one, true and complete logic of inductive inference, then their limited success ceases to be an unexpected annoyance. It is merely the reflection of a necessity: there can be no non-trivial, complete logic of inductive inference.

## 18. Conclusion

In the light of these results, what should we think of calculi of inductive inference? The import of the results is limited. They do not tell us that we must give up the idea of calculi of

inductive inference. Rather they tell us that we should give up the quest for a single, all-purpose calculus that will give us a complete treatment of inductive inference. In its place, we should conceive of inductive inference locally. In any domain of investigation, no matter how big or how small, we may seek a calculus to govern our inductive inferences. If we find one that works in the domain, that calculus will never provide a complete account of the inductive relations in that domain. We will always need further inductive content to be supplied externally to the domain. No matter what our domain, there will always be an external background to which we must resort for inductive content.

This local reconception of inductive inference fits well with the material theory of induction developed in this book. In each domain, there will be relations of inductive support peculiar to it. They are not warranted by conformity with some universal calculus. They are warranted by the particular background facts prevailing in that domain. If those relations are regular enough to be described abstractly, we may identify a calculus for those inductive relations. However whether there is such a calculus and what its rules are will depend on the background facts prevailing in that domain. We should expect the calculus to differ from domain to domain. There is no universal calculus of inductive inference. That is the final moral of incompleteness.

## References

- Jeffreys, Harold (1961) *Theory of Probability*. 3rd ed. Oxford: Clarendon Press.
- Kolmogorov, A. N., (1950), *Foundations of Probability*. Trans N. Morrison. New York: Chelsea Publishing Company.
- Norton, John D. (2003) "A Material Theory of Induction" *Philosophy of Science*, **70**, pp. 647-70.
- Norton, John D (2008) "Ignorance and Indifference." *Philosophy of Science*, **75**, pp. 45-68.
- Norton, John D (2010) "Deductively Definable Logics of Induction." *Journal of Philosophical Logic*. **39**, pp. 617-654.
- Norton, John D. (forthcoming) "A Demonstration of the Incompleteness of Calculi of Inductive Inference." *British Journal for the Philosophy of Science*.
- Solomonoff, Ray (1964). "A Formal Theory of Inductive Inference," *Information and Control* **7** pp. 1–22; pp. 224-254.