# CS 441: Sets

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# Today's topics

- Introduction to set theory
	- What is a set?
	- Set notation
	- Basic set operations



## What is a set?



*Informally:* Sets are really just a precise way of grouping a "bunch of stuff"

## A set is made up of elements

*Definition:* The objects making up a set are called elements of that set.

#### *Examples:*

- $\bullet$  3 is an element of  $\{1, 2, 3\}$
- Azhar is an element of {Azhar, Boipelo, Camilla, Dov}

We can express the above examples in a more precise manner as follows:

- $3 \in \{1, 2, 3\}$
- Azhar ∈ {Azhar, Boipelo, Camilla, Dov}

*Question:* Is  $5 \in \{1, 2, 3, \{4, 5\}\}$ ?

# There are many different ways to describe a set

### *Explicit enumeration:*

•  $A = \{1, 2, 3, 4\}$ 

#### *Using ellipses if the general pattern is obvious:*

•  $E = \{2, 4, 6, ..., 98\}$ 

#### *Set builder notation (aka, set comprehensions):*



### There are a number of sets that are so important to mathematics that they get their own symbol

$$
N = \{0, 1, 2, 3, ... \}
$$
  
\n
$$
Z = \{..., -2, -1, 0, 1, 2, ... \}
$$
  
\n
$$
Z^{+} = \{1, 2, ... \}
$$
  
\n
$$
Q = \{p/q \mid p, q \in Z, q \neq 0\}
$$
  
\n
$$
R
$$
  
\n
$$
\emptyset = \{\}
$$

Note: This notation differs from book to book

- Some authors write these sets as N,  $\mathbb{Z}, \mathbb{Z}^+, \mathbb{Q}$ , and  $\mathbb{R}$ 
	- I'll do so in handwriting ("blackboard bold")
- Some authors do not include zero in the natural numbers
	- I like the above because it makes **N**≠**Z**<sup>+</sup> (more expressive)

Be careful when reading other books or researching on the Web, as things may be slightly different!

## You've actually been using sets implicitly all along!



Mathematics

**Function** min(int x, int y) : int **if**  $x < y$  **then return** x **else return** y **endif end function**

Programming language data types

 $F(x,y) \equiv x$  and y are friends Domain: "All people"

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∀x ∃y F(x,y)

Domains of propositional functions

# Set equality

**Definition:** Two sets are equal if and only if they contain exactly the same elements.

*Mathematically:*  $A = B$  iff  $\forall x (x \in A \leftrightarrow x \in B)$ 

**Example:** Are the following sets equal?

- $\{1, 2, 3, 4\}$  and  $\{1, 2, 3, 4\}$
- $\{1, 2, 3, 4\}$  and  $\{4, 1, 3, 2\}$
- ${a, b, c, d, e}$  and  ${a, a, c, b, e, d}$
- ${a, e, i, o}$  and  ${a, e, i, o, u}$



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# Sets can be contained within one another

*Definition:* Some set A is a subset of another set B iff every element of A is an element in the set B. We denote this fact as  $A \subseteq \overline{B}$ , and call B a superset of A.



*Mathematically:* 

*Definition:* We say that A is a proper subset of B iff  $A \subseteq B$ , but  $A \neq B$ . We denote this by  $A \subset B$ . More precisely:

## Properties of subsets

Property 1: For all sets S, we have that  $\emptyset \subseteq S$ 

*Proof:* The set Ø contains no elements. So, trivially, every element of the set  $\emptyset$  is contained in any other set S.  $\Box$ 

Property 2: For any set  $S, S \subseteq S$ .

Property 3: If  $S_1 = S_2$ , then  $S_1 \subseteq S_2$  and  $S_2 \subseteq S_1$ .

## Note: Differences between ⊆ and ∈

Recall that  $A \subseteq B$  if A is a subset of B, whereas  $a \in A$  means that a is an element of A.

#### *Examples:*

- Is  $\{1\} \in \{1, 2, 3\}$ ?
- Is  $\{1\} \subseteq \{1, 2, 3\}$ ?
- Is  $1 \in \{1, 2, 3\}$ ?
- Is  ${2, 3} \subseteq {1, {2, 3}, {4, 5}}$ ?
- Is  $\{2, 3\} \in \{1, \{2, 3\}, \{4, 5\}\}$ ?
- Is  $\emptyset \in \{1, 2, 3\}$ ?
- Is  $\emptyset \subseteq \{1, 2, 3\}$ ?

## In-class exercises

**Problem 1:** Come up with two ways to represent each of the following sets:

- The even integers
- Negative integers between -1 and -10, inclusive
- The positive integers

**Problem 2:** Draw a Venn diagram representing the sets  $\{1, 2, 3\}$  and  $\{3, 4, 5\}$ .

**Problem 3–4:** On Top Hat

### We can create a new set by combining two or more existing sets

**Definition:** The union of two sets A and B contains every element that is either in A or in B. We denote the union of the sets A and B as A ∪ B.

*Graphically:*



*Mathematically:*  $A \cup B = \{x \mid x \in A \lor x \in B\}$ 

*Example:* {1, 2, 3} ∪ {6, 7, 8} = {1, 2, 3, 6, 7, 8}

## We can take the union of any number of sets

*Example:* A ∪ B ∪ C

*Graphically:*



In general, we can express the union  $S_1 \cup S_2 \cup ... \cup S_n$  using the following notation:



### Sometimes we're interested in the elements that are in more than one set

**Definition:** The intersection of two sets A and B contains every element that is in A and also in B. We denote the intersection of the sets A and B as  $A \cap B$ .

*Graphically:*



*Mathematically:* 

#### *Examples:*

- $\{1, 2, 3, 7, 8\} \cap \{6, 7, 8\} = \{7, 8\}$
- $\{1, 2, 3\} \cap \{6, 7, 8\} = \emptyset$

*We say that two sets A and B are disjoint if A ∩ B =* ∅

### We can take the intersection of any number of sets

### *Example:* A ∩ B ∩ C





*Si*

As with the union operation, we can express the intersection  $S_1 \cap S_2 \cap ... \cap S_n$  as:

 $\bigcap$ 

 $i=1$ 

*n*

## Set differences

*Definition:* The difference of two sets A and B, denoted by A – B, contains every element that is in A, but not in B.

*Graphically:*



*Mathematically:* 

**Example:** {1, 2, 3, 4, 5} – {4, 5, 6, 7, 8} = {1, 2, 3}

Be careful: Some authors use the notation  $A \setminus B$  to denote the set difference A – B.

### If we have specified a universe U, we can determine the complement of a set

*Definition:* The complement of a set A, denoted by A, contains every element that is in U, but not in A.



*Mathematically:* 

*Examples:* Assume that  $U = \{1, 2, ..., 10\}$ 

- ${1, 2, 3, 4, 5} =$
- $\overline{\{2, 4, 6, 8, 10\}} =$

## Cardinality is the measure of a set's size

**Definition:** Let S be a set. If there are exactly n elements in S, where n is a nonnegative integer, then S is a finite set whose cardinality is n. The cardinality of S is denoted by |S|.

**Example:** If  $S = \{a, e, i, o, u\}$ , then  $|S| = \{f, f\}$ 

*Useful facts:* If A and B are finite sets, then

- $|A \cup B| = |A| + |B| |A \cap B|$
- $|A B| = |A| |A \cap B|$

Aside: We'll talk about the cardinality of infinite sets later in the course.

## Power set

**Definition:** Given a set S, its power set is the set containing all subsets of S. We denote the power set of S as P(S).

#### *Examples:*

- $P({1}) = {\emptyset, {1}}$
- $P({1, 2, 3}) = {\emptyset, {1}, {2}, {3}, {1, 2}, {1, 3}, {2, 3}, {1, 2, 3}}$

#### Note:

- The set  $Ø$  is in the power set of any set S:
- The set S is in its own power set:
- $|P(S)| = 2^{|S|}$
- Some authors use the notation  $2<sup>S</sup>$  to represent the power set of S

## Be careful when computing power sets

```
Question: What is P({1, 2, {1, 2}})?
```
Note: The set {1, 2, {1, 2}} has three elements

- 1
- 2
- $\{1, 2\}$

So, we need all combinations of those elements:



## How do we represent ordered collections?

**Definition:** The ordered n-tuple  $(a_1, a_2, ..., a_n)$  is the ordered collection that has  $a_1$  as its first element,  $a_2$  as its second element,  $\dots$ , and  $a_n$  as its n<sup>th</sup> element.

Note:  $(a_1, a_2, ..., a_n) = (b_1, b_2, ..., b_n)$  iff  $a_i = b_i$  for  $i = 1, ..., n$ .

Special case: Ordered pairs of the form  $(x \in \mathbb{Z}, y \in \mathbb{Z})$  are the basis of the Cartesian plane!

(a, b) = (c, d) iff 
$$
a = c
$$
 and  $b = d$ 

•  $(a, b) = (b, a)$  iff  $a = b$ 

#### *How can we construct and describe ordered n-tuples?*

### We use the Cartesian product operator to construct ordered n-tuples

**Definition:** If A and B are sets, the Cartesian product of A and B, which is denoted  $A \times B$ , is the set of all ordered pairs (a, b) such that  $a \in A$  and  $b \in B$ .

#### *Mathematically:*

*Examples:* Let  $A = \{1, 2\}$  and  $B = \{y, z\}$ 

- What is  $A \times B$ ?
- $B \times A$ ?
- Are A × B and B × A equivalent?

### Cartesian products can be made from more than two sets

*Example:* Let

- $S = \{x \mid x \text{ is enrolled in CS } 441\}$
- $G = \{x \mid x \in \mathbb{R} \land 0 \le x \le 100\}$
- $Y = \{$ freshman, sophomore, junior, senior $\}$

The set  $S \times Y \times G$  consists of all possible (CS441 student, year, grade) combinations.

Note: My grades database is a subset of  $S \times Y \times G$ that defines a relation between students in the class, their year at Pitt, and their grade!

*We will study the properties of relations towards the end of this course.*

### Sets and Cartesian products can be used to represent trees and graphs

![](_page_25_Figure_1.jpeg)

A social network can be represented as a graph  $(V, E)$  in which the set V denotes the people in the network and the set E denotes the set of "friendship" links:  $(V, E) \in P(N) \times P(F)$ 

In the above network:

- $V = \{A \text{vetis}, B \text{luma}, ..., C \text{olin}\} \subseteq N$
- E = {(Avetis, Bluma), (Avetis, Duru), …, (Sekai, Colin)}  $\subseteq$  N  $\times$  N

### Set notation allows us to make quantified statements more precise

We can use set notation to make the domain of a quantified statement explicit.

### *Example:*  $\forall x \in \mathbb{R}$  ( $x^2 \ge 0$ )

The square of any real number is at least zero

#### *Example:* ∀n∈Z ∃j,k∈Z [(3n+2 = 2j+1)→(n = 2k+1)]

If n is an integer and  $3n + 2$  is odd, then n is odd.

Note: This notation is far less ambiguous than simply stating the domains of propositional functions. In the remainder of the course, we will use this notation whenever possible.

## Truth sets describe when a predicate is true

**Definition:** Given a predicate P and its corresponding domain D the truth set of P enumerates all elements in D that make the predicate P true.

*Examples:* What are the truth sets of the following predicates, given that their domain is the set **Z**?

- $P(x) \equiv |x| = 1$
- $Q(x) \equiv x^2 > 0$
- R(x)  $\equiv x^5 = 1049$

Note:

- $\forall x P(x)$  is true iff the truth set of P is the entire domain D
- $\cdot$   $\exists x P(x)$  is true iff the truth set of P is non-empty

### How do computers represent and manipulate finite sets?

Observation: Representing sets as unordered collections of elements (e.g., arrays of Java Object data types) can be inefficient.

As a result, sets are usually represented using either hash maps or bitmaps.

*You'll learn about these in CS 445, so today we'll focus on bitmap representations.* 

This is probably best explained through an example…

# Playing with the set S={x | x∈**N**, x<10}

To represent a set as a bitmap, we must first agree on an ordering for the set. In the case of S, let's use the natural ordering of the numbers.

Now, any subset of S can be represented using |S|=10 bits. For example:

- $\bullet$  {1, 3, 5, 7, 9} = 0101 0101 01
- $\{1, 1, 1, 4, 5\} = 01001100000$

What subsets of S do the following bitmaps represent?

- $\cdot$  0101 1010 11
- $\cdot$  1111 0000 10

### Set operations can be carried out very efficiently as bitwise operations

![](_page_30_Figure_1.jpeg)

![](_page_30_Figure_2.jpeg)

Note: These operations are much faster than searching through unordered lists!

### Set operations can be carried out very efficiently as bitwise operations

Example: 
$$
\{1, 3, 7\}
$$
  
\n
$$
\longrightarrow 70101000100
$$
\n
$$
1010111011 = \{0, 2, 4, 5, 6, 8, 9\}
$$

Since the set difference A – B can be written as A  $\cap$  (A  $\cap$  B), we can calculate it as  $A \wedge \neg(A \wedge B)$ .

Although set difference is more complicated than the basic operations, *it is still much faster to calculate set differences using a bitmap approach as opposed to an unordered search.*

## In-class exercises

**Problem 4:** Let A = {1, 2, 3, 4}, B = {3, 5, 7, 9}, and C = {7, 8, 9, 10}. Calculate the following:

- A ∩ B
- A ∪ B ∪ C
- B ∩ C
- A ∩ B ∩ C

**Problem 5:** Come up with a bitmap representation of the sets  $A = \{a, c, d, f\}$ and  $B = \{a, b, c\}$ . Use this to calculate the following:

- A ∪ B
- A ∩ B

# Final thoughts

- Sets are one of the most basic data structures used in computer science
- Today, we looked at:
	- How to define sets
	- Basic set operations
	- How computers represent sets
- Next time:
	- Set identities (Section 2.2)
	- Functions (Section 2.3)