

# CS 441: Applications of Number Theory

---

PhD. Nils Murrugarra-Llerena  
[nem177@pitt.edu](mailto:nem177@pitt.edu)



# Today's topics

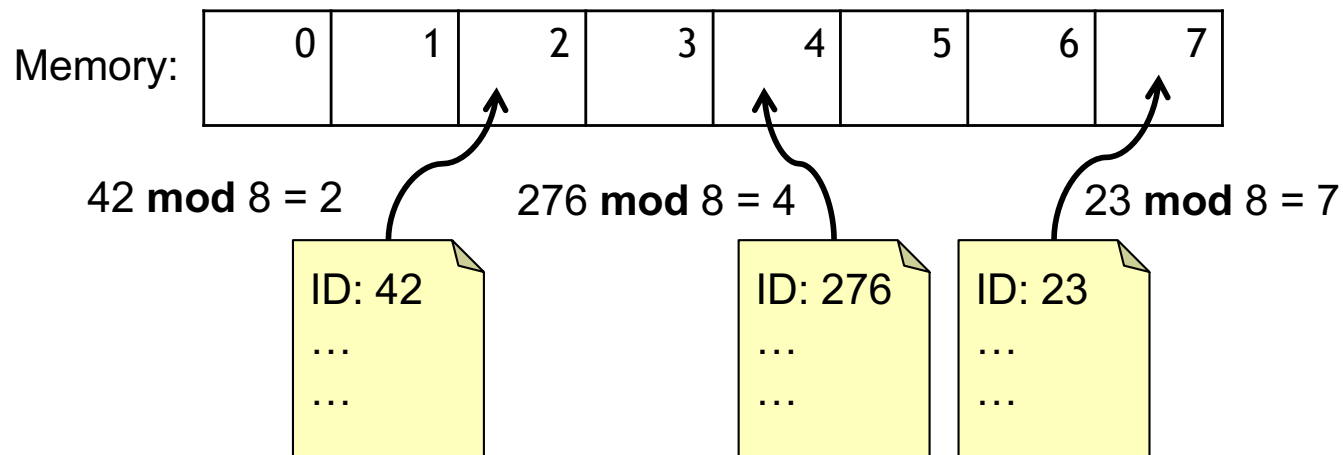
- Hashing non-numeric data
  - Horner's method for efficient computation
- Check digits and error-correcting codes
  - ISBN, Luhn, Reed–Solomon
- Cryptography
  - Block ciphers
  - Public-key cryptography
  - RSA



# Hash functions, recap

*Problem:* Given a large collection of records, how can we find the one we want quickly?

*Solution:* Apply a **hash function** that determines the storage location of the record based on the record's ID. A common hash function is  $h(k) = k \bmod n$ , where  $n$  is the number of available storage locations.



# What if we want to use non-numeric IDs?

For example, say your IDs are alphanumeric strings

Thanks to base- $b$  expansion, we can interpret these as integers!

- Let  $b = 36$ , with digits 0–9 then A–Z
- If case sensitive,  $b = 62$  to include 0–9, A–Z, a–z
- Base64 is a standard where  $b = 64$  using A–Z, a–z, 0–9, +, /
  - Why might this be preferred over  $b = 62$ ?

We can even hash arbitrary binary data

- Any binary data can be interpreted as a base- $b$  integer! Let  $b = 2$
- Or, we can read  $k$  bits at a time (say, 1 byte = 8 bits) and let  $b = 2^k$ , interpreting each “block” as an integer in  $\mathbf{Z}_b$

## To calculate these more efficiently, we can use Horner's method

An  $k$ -digit string in base  $b$ :

$$a_{k-1}b^{k-1} + a_{k-2}b^{k-2} + \dots + a_1b^1 + a_0b^0$$

- If  $k$  and  $b$  are large, values like  $b^{k-1}$  are very time-consuming to calculate

Instead, we can use Horner's method:

$$((\dots (a_{k-1} * b + a_{k-2}) * b + \dots + a_2) * b + a_1) * b + a_0$$

**procedure** *Horner*( $b, a_0, a_1, \dots, a_{k-1}$ )

$y := a_{k-1}$

**for**  $i : k-2$  **to** 0

$y := y * b + a_i$

**return**  $y$

*Each  $a_i$  is multiplied by  $b$  a total of  $i$  times*

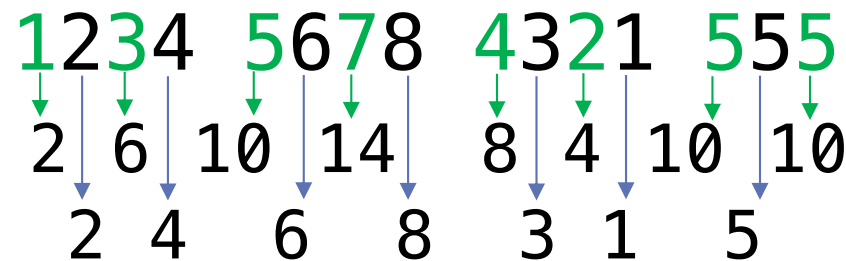
*We can add "mod  $n$ " here if using this for hashing!*

## Congruences are also used for check bits and check digits

The textbook describes parity bits and UPC/ISBN check digits

The **Luhn algorithm** is used for credit cards

- Calculates the 16th digit based on the first 15
- **Double the rightmost digit and every second digit**, moving right to left
- Let  $s$  be the sum of the resulting digits
- Set the check digit to  $10 - (s \bmod 10)$



- $s = 2 + 2 + 6 + 4 + (1 + 0) + 6 + (1 + 4) + 8 + 8 + 3 + 4 + 1 + (1 + 0) + 5 + (1 + 0) = 57$

## Error-correcting codes are used to store data when reading is error-prone

Examples: Optical media, QR codes

**Goal:** Store  $k$  digits\* with extra check digits so that erasures/errors can be detected/corrected

- Store  $n > k$  digits, where  $t = n - k$  are **check digits**
- If any  $t$  digits are lost, they can be **recovered**
- If any  $t$  digits are changed, it can be **detected**
- If any  $\lfloor t/2 \rfloor$  digits are changed, it can be **corrected**

**Reed–Solomon:** Let each digit represent a point on a curve

- Any  $k$  points identify a  $(k - 1)$ -degree polynomial
- Extend the curve to generate check digits, **interpolate to recover lost digits**

# Recall the Caesar cipher

To encode a message using the Caesar cipher:

- Choose a shift index  $s$
- Convert each letter A-Z into a number 0-25
- Compute  $f(p) = (p + s) \bmod 26$

**Example:** Let  $s = 9$ . Encode “ATTACK”.

- ATTACK = 0 19 19 0 2 10
- $f(0) = 9, f(19) = 2, f(2) = 11, f(10) = 19$
- Encrypted message: 9 2 2 9 11 19 = JCCJLT

**Affine ciphers** use bijections of the form  $f(p) = (ap + b) \bmod 26$

- To be a bijection, requires  $\gcd(a, 26) = 1$



# These simple ciphers are not secure!

**Patterns** become obvious even though the letters are replaced

- Frequencies of letters, digraphs, trigrams, etc.

However, modern secure **block ciphers** might look similar at first!

- Replace each  $k$ -bit block with another  $k$ -bit block
  - Say,  $k = 128$ , as with Advanced Encryption Standard (AES)
- Use a key (e.g., also 128 bits) to determine the substitution
- There are  $2^k$  possible blocks, similar number of keys, so there are way more combinations
- Even so, to be used securely, a block cipher needs to be combined with a secure **mode of operation** (block mode)
- A secure block mode ensures that the same block encrypts differently depending on its location

## These ciphers, including modern block ciphers, are examples of secret-key cryptography

**Symmetric-key** (or private-key, or secret-key) cryptography uses the **same** key to encrypt and decrypt

- The ability to encrypt is the same as the ability to decrypt
- This means **we need to share a key** with each other party we want to communicate with!

**Public-key cryptography:** The encryption and decryption key are distinct

- The two keys are **paired**, but the decryption key is hard to get from the encryption key
- I can generate a key pair, **keep the decryption key**, and **distribute the encryption key** to multiple parties!

# The RSA cryptosystem

To generate an RSA key pair:

- Choose two primes,  $p$  and  $q$ , and let  $n = pq$
- Compute  $\varphi(n) = (p - 1)(q - 1)$  ②
  - The count of integers less than  $n$  that are coprime with  $n$
- Choose an integer  $e$  such that is coprime with  $\varphi(n)$ 
  - How can we ensure this?
- Calculate  $d$  such that  $ed \equiv 1 \pmod{\varphi(n)}$  ①
  - How can we do this?
- Let  $(n, e)$  and be the **public key**
- Let  $d$  be the **private key**

To use an RSA key pair:

- Encrypt a message  $m \in \mathbf{Z}_n$  to **public key**  $(n, e)$ :  $c = m^e \bmod n$
- Decrypt a ciphertext to  $(n, e)$ :  $r = c^d \bmod n = m^{ed} \bmod n = m$

③

# Why does RSA decryption work?

Why does  $m^{ed} \bmod n = m$ ? ②

①

- **Recall:**  $ed \equiv 1 \pmod{\varphi(n)}$ , and  $\varphi(n) = (p-1)(q-1)$
- ③  $r = m^{ed} = m^{k\varphi(n)+1} = m^{k(p-1)(q-1)+1}$
- ④ By **Fermat's Little Theorem**, this means  $r \equiv m \pmod{p}$  and  $r \equiv m \pmod{q}$ 
  - Thus,  $p \mid (r - m)$  and  $q \mid (r - m)$
  - Since  $p$  and  $q$  are distinct and both prime, they are coprime
  - Lemma: If  $a \mid c$  and  $b \mid c$  for coprime  $a$  and  $b$ , then  $ab \mid c$ 
    - Good practice proof!
    - If  $p \mid (r - m)$  and  $q \mid (r - m)$  then  $p * q \mid (r - m)$ 
      - $n \mid (r - m)$
  - This means that  $n \mid (r - m)$ , so  $r \equiv m \pmod{n}$

## Why does RSA decryption work?: Fermat's Little Theorem

- $r = m^{ed} = m^{k\varphi(n)+1} = m^{k(p-1)(q-1)+1}$
- ④ • By **Fermat's Little Theorem**, this means  $r \equiv m \pmod{p}$  and  $r \equiv m \pmod{q}$ 
  - Let's assume  $\gcd(m, p) = 1$  and  $\gcd(m, q) = 1$ .  $m$  and  $p$  are coprimes;  $m$  and  $q$  are coprimes [See slide 22 from congruences]
  - Since  $p$  is prime, and  $m$  is not divisible by  $p$  [ $\gcd(m, p) = 1$ ]  $\rightarrow m^{(p-1)} = 1 \pmod{p}$
  - Since  $q$  is prime, and  $m$  is not divisible by  $q$  [ $\gcd(m, q) = 1$ ]  $\rightarrow m^{(q-1)} = 1 \pmod{q}$
  - $r = m^{k(p-1)(q-1)+1} = m * m^{k(p-1)(q-1)}$   
 $= m * 1 \pmod{p}$   
 $= m \pmod{p}$
  - $r = m^{k(p-1)(q-1)+1} = m * m^{k(p-1)(q-1)}$   
 $= m * 1 \pmod{q}$   
 $= m \pmod{q}$
- Thus,  $p \mid (r - m)$  and  $q \mid (r - m)$

## A brief practical security note...

The textbook includes examples and exercises where they encrypt a message using RSA, one “chunk” at a time

**THIS IS INSECURE, DO NOT DO THIS**

Remember what we learned from block ciphers!

- Done this way, if the plaintext chunk is the same, the ciphertext chunk is the same
- Even if the encryption approach is very sophisticated in isolation, encrypting piece-by-piece reveals patterns
- **Best practice** is to use RSA to encrypt a single-use symmetric key, then encrypt the message using a block cipher with a secure mode of operation
  - In part, because block ciphers are way faster than RSA

# Why is RSA secure?

That is, if you know  $c = m^e \bmod n$ , why can't you get  $m$ ?

This is called the **RSA problem**, and the fastest known approach is to factor  $n$

- In turn, the **fastest factoring algorithm** is slower than **polynomial complexity** (“hard”)
- Factoring  $n$  reveals  $p$  and  $q$ , and thus  $\varphi(n)$ , and then  $d$  can be computed from  $e$  just like in key generation
- If you can get  $d$ , then you can get  $p$  and  $q$ 
  - By contrapositive, if factoring is hard, then getting  $d$  is hard
- Similarly, if you can get  $\varphi(n)$ , you can get  $p$  and  $q$

To be secure for the near future,  $n$  should be 2048 bits in size

- 

e.g.,  
 28980031691694357068918562487659336178577290872139729240999721884150682654823846774504439389267921793843771740233811602035640310196929500591908624781  
 66152016032673099683618999980615311782821864256646973478297214481647222660269569400841134169754396451340590101145507012183878091040551030992366712077  
 51888612680781200445138803757546069773284441936327610981983867727670435168737551110881172718728253861892500326058954623805626985122349587194747221280  
 36031389620442812631321984742581817025098263901240154322179135628982031399236433383170589170534724928725807887253791412053381878561858347628938989347  
 523578617950829846264

# Final thoughts

- **Number theory** has many applications in computing
  - Hashing for storage
  - Check digits and error-correcting codes
  - Cryptography
- **Symmetric-key cryptography** relies on complex substitutions, while **public-key cryptography** uses number theory
  - ... and mathematical problems with no known efficient algorithms
- Next: Proof by induction! (Start reading Chapter 5)