

# CS 441: Proof Methods

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# Today's topics

- Proof techniques
  - Proof by exhaustion
  - Proof by cases
  - Existence proofs
  - Uniqueness proofs
- Proof strategies
  - Backward reasoning



# Not all theorems are of the form $p \rightarrow q$

Sometimes, we need to prove a theorem of the form:

$$p_1 \vee p_2 \vee \dots \vee p_n \rightarrow q$$

So, we might need to examine **multiple cases!**

Prove that  $n^2 + 1 \geq 2n$  where  $n$  is a positive integer with  $1 \leq n \leq 4$

*Proof:*

Since we have verified each case, we have shown that  $n^2 + 1 \geq 2n$  where  $n$  is a positive integer with  $1 \leq n \leq 4$ .

□

*With only 4 cases to consider, exhaustive proof was a good choice!*

Sometimes, exhaustive proof isn't an option, but we still need to examine multiple possibilities

**Example:** Prove the triangle inequality. That is, if  $x$  and  $y$  are real numbers, then  $|x| + |y| \geq |x + y|$ .

Clearly, we can't use exhaustive proof here since there are **infinitely many** real numbers to consider.

We also can't use a simple direct proof either, since our proof depends on the signs of  $x$  and  $y$ .

**What should we do?**

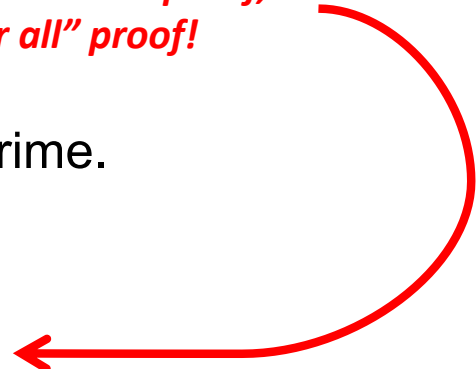
**Example:** Prove that if  $x$  and  $y$  are real numbers, then  $|x| + |y| \geq |x + y|$ .

- Note: If  $x \geq 0$ ,  $|x| = x$ , otherwise  $|x| = -x$
- Cases:
  - 1)  $x \geq 0$  and  $y \geq 0$ 
    - $|x| + |y| = x + y$  and  $|x + y| = x + y$
    - $x + y \geq x + y$  ✓
  - 2)  $x < 0$  and  $y < 0$ 
    - $|x| + |y| = -x - y$  and  $|x + y| = -x - y$
    - $-x - y \geq -x - y$  ✓
  - 3)  $x \geq 0$  and  $y < 0$ 
    - If  $x \geq |y|$ , then  $|x + y| = x - |y|$  and  $|x| + |y| = x + |y|$   
 $x + |y| \geq x - |y|$  ✓
    - If  $x < |y|$ , then  $|x + y| = |y| - x$  and  $|x| + |y| = x + |y|$   
 $|y| + x \geq |y| - x$  ✓
  - 4) Symmetrical to Case 3 □

## Making mistakes when using proof by cases is all too easy!

**Mistake 1:** Proof by “a few cases” is **not** equivalent to proof by cases.

*This is a “there exists” proof,  
not a “for all” proof!*



**Example:** Prove that all odd numbers are prime.

“Proof:”

- **Case (i):** The number 1 is both odd and prime
- **Case (ii):** The number 3 is both odd and prime
- **Case (iii):** The number 5 is both odd and prime
- **Case (iv):** The number 7 is both odd and prime

Thus, we have shown that odd numbers are prime.  $\square$

## Making mistakes when using proof by cases is all too easy!

**Mistake 2:** Leaving out critical cases.

**Example:** Prove that  $x^2 > 0$  for all integers  $x$

“Proof:”

- **Case (i):** Assume that  $x < 0$ . Since the product of two negative numbers is always positive,  $x^2 > 0$ .
- **Case (ii):** Assume that  $x > 0$ . Since the product of two positive numbers is always positive,  $x^2 > 0$ .

Since we have proven the claim for all cases, we can conclude that  $x^2 > 0$  for all integers  $x$ .  $\square$

**What about the case in which  $x = 0$ ?**



Sometimes we need to prove the **existence** of a given element

*There are two ways to do this*



The **constructive** approach



The **non-constructive** approach

## A constructive existence proof

**Prove:** Show that there is a positive integer that can be written as the sum of cubes of positive integers in two different ways.

**Proof:**  $1729 = 10^3 + 9^3 = 12^3 + 1^3 \quad \square$



***Constructive existence proofs are really just instances of “existential generalization.”***

## A non-constructive existence proof

**Prove:** Show that there exist two irrational numbers  $x$  and  $y$  such that  $x^y$  is rational.

**Proof:**

**Note:** We don't know whether  $\sqrt{2}^{\sqrt{2}}$  is rational or irrational. However, in either case, we can use it to construct a rational number.

## Sometimes, existence is not enough, and we need to prove uniqueness

This process has two steps:

- 1.
- 2.

**Example:** Prove that if  $a$  and  $b$  are real numbers, then there exists a unique real number  $r$  such that  $ar + b = 0$

**Proof:**

- Note that  $r = -b/a$  is a solution to this equality since  $a(-b/a) + b = -b + b = 0$ .
- Assume that  $as + b = 0$
- Then  $as = -b$ , so  $s = -b/a = r$ , which means  $s$  is just  $r$   $\square$

*Existence*



*Uniqueness*

## In-class exercises

**Problem 1:** Prove that there exists a positive integer that is equal to the sum of all positive integers less than it. Is your proof constructive or non-constructive?

**Problem 2:** Prove that there is no positive integer  $n$  such that  $n^2 + n^3 = 100$ .

**Top Hat**

# Final Thoughts

- Proving theorems is not always straightforward
- Having several **proof strategies** at your disposal will make a huge difference in your success rate!
- We are “done” with our intro to logic and proofs
- Next lecture:
  - Intro to set theory
  - Please read sections 2.1 and 2.2